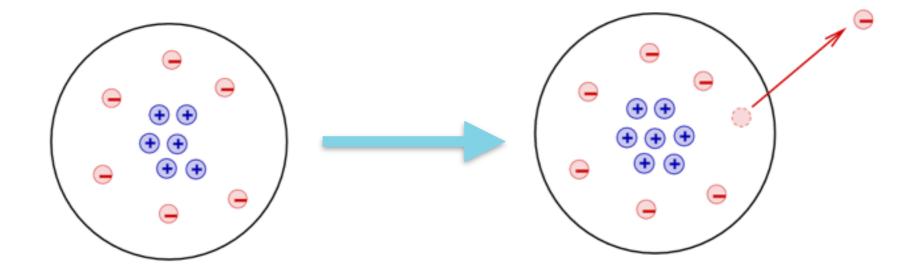
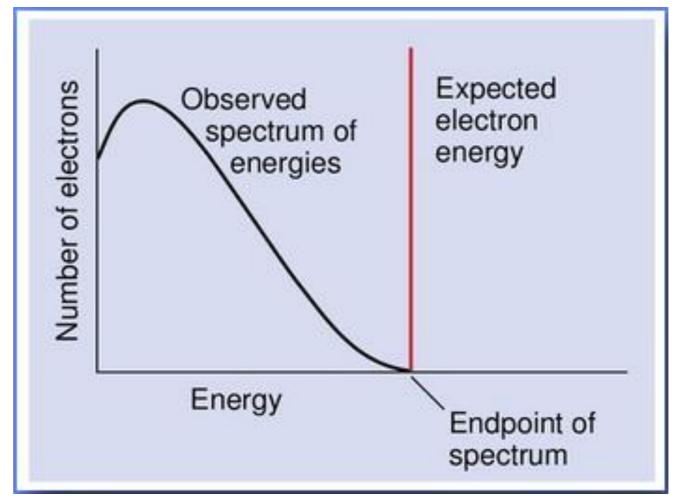




# Introduction to Neutrino Physics

Pedro A. N. Machado



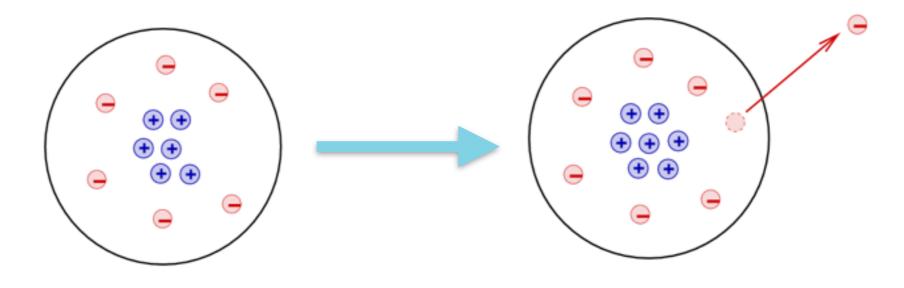


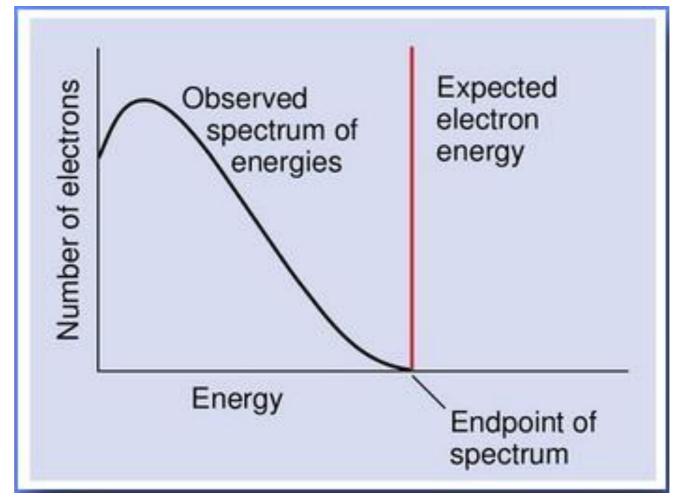
Observations by Sir J. Chadwick, 1914





Pauli's Facebook profile picture

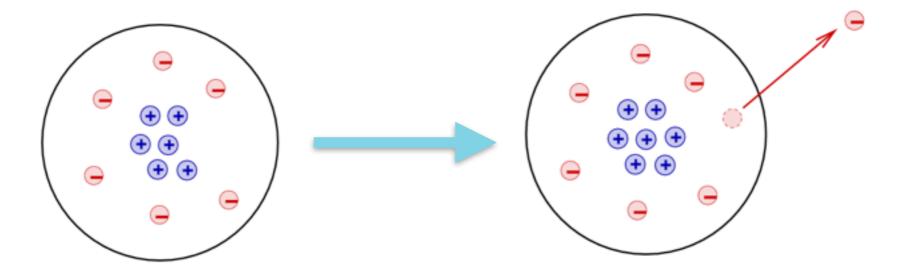




Observations by Sir J. Chadwick, 1914







Pauli's Facebook profile picture

Businal - Plotocopie of PLC 0393 Abschrift/15.12.5

Offener Brief an die Gruppe der Radioaktiven bei der Gauvereins-Tagung zu Tubingen.

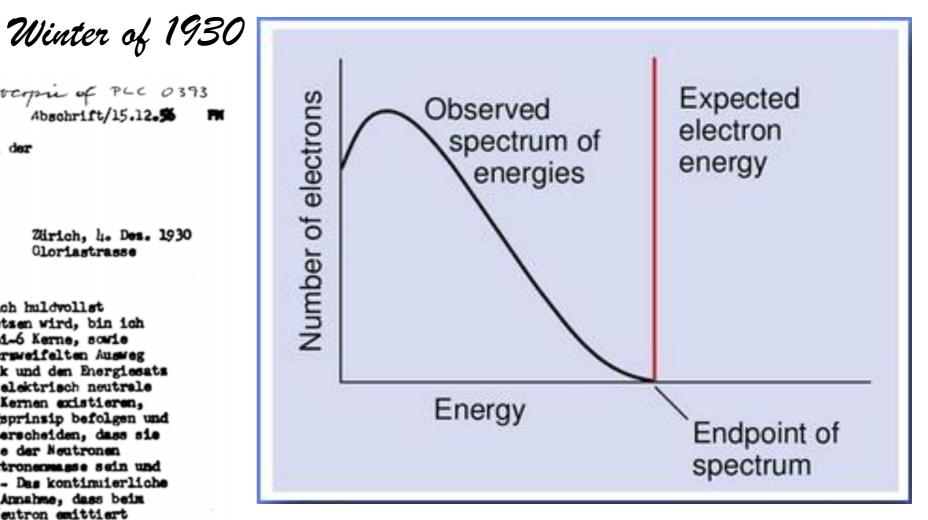
#### Abschrift

Physikalisches Institut der Eidg. Technischen Hochschule Zurich

Zirich, 4. Des. 1930 Cloriastrasse

Liebe Radioaktive Damen und Herren.

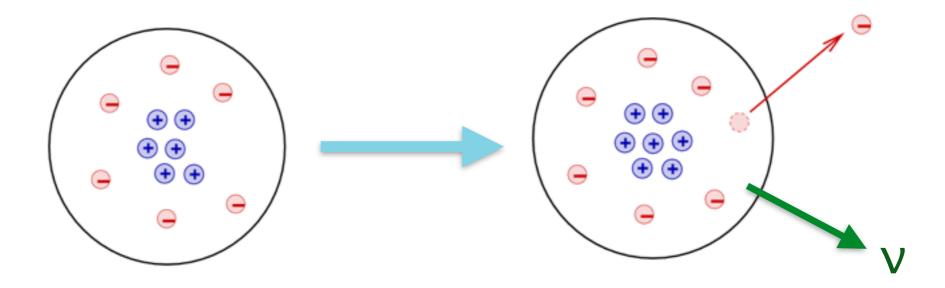
Wie der Ueberbringer dieser Zeilen, den ich huldvollst ansuhören bitte, Ihnen des näheren auseinandersetzen wird, bin ich angesichts der "falschen" Statistik der N- und Li-6 Kerne, sowie des kontinuierlichen beta-Spektrums auf einen versweifelten Ausweg verfallen um den "Wechselsatz" (1) der Statistik und den Energiesatz su retten. Mämlich die Möglichkeit, es könnten elektrisch neutrale Teilchen, die ich Neutronen nennen will, in den Kernen existieren, welche den Spin 1/2 haben und das Ausschliessungsprinzip befolgen und won Lichtquanten musserdem noch dadurch unterscheiden, dass sie Acht mit Lichtgeschwindigkeit laufen. Die Masse der Neutronen ste von derselben Orossenordnung wie die Elektronenwasse sein und jesenfalls nicht grösser als 0,01 Protonemasse.- Das kontinuierliche - Spektrum ware denn verständlich unter der Annahme, dass beim beta-Zerfall mit dem blektron jeweils noch ein Neutron emittiert mird, derart, dass die Summe der Energien von Neutron und Elektron konstant ist.



Observations by Sir J. Chadwick, 1914







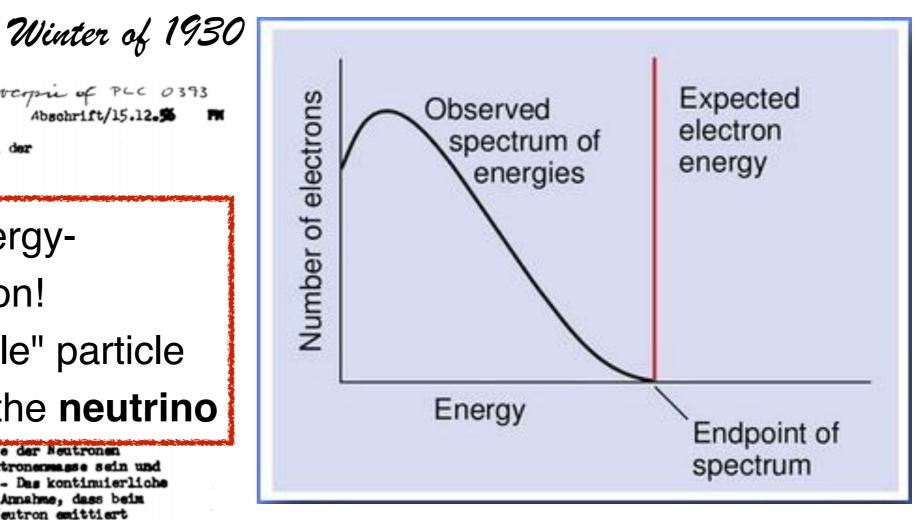
Pauli's Facebook profile picture

Busical - Plotocopie of PLC 0393

Offener Brief an die Gruppe der Radioaktiven bei der Gauvereins-Tagung zu Tubingen.

- Gross violation of energymomentum conservation!
- Postulates an "invisible" particle to avoid catastrophe - the **neutrino**

ant mit Lichtgeschwindigkeit laufen. Die Hasse der Neutronen te von derselben Grossenordnung wie die Elektronenmasse sein und samfalls nicht grosser als 0,01 Protonenmasse.- Das kontinuierliche - Spektrum ware dann verständlich unter der Annahme, dass beim beta-Zerfall mit dem blektron jeweils noch ein Neutron emittiert mird, derart, dass die Summe der Energien von Neutron und Elektron



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#### The discovery of the neutrino: Cowan-Reines experiment, 1956





Fred Reines

Clyde Cowan

Savannah River Plant would provide a flux of ~ 10<sup>13</sup> v/cm<sup>2</sup>/s

$$\overline{V}$$
 + p  $\longrightarrow$  n + e<sup>+</sup>, then n + <sup>108</sup>Cd  $\longrightarrow$  <sup>109</sup>Cd +  $\gamma$ 

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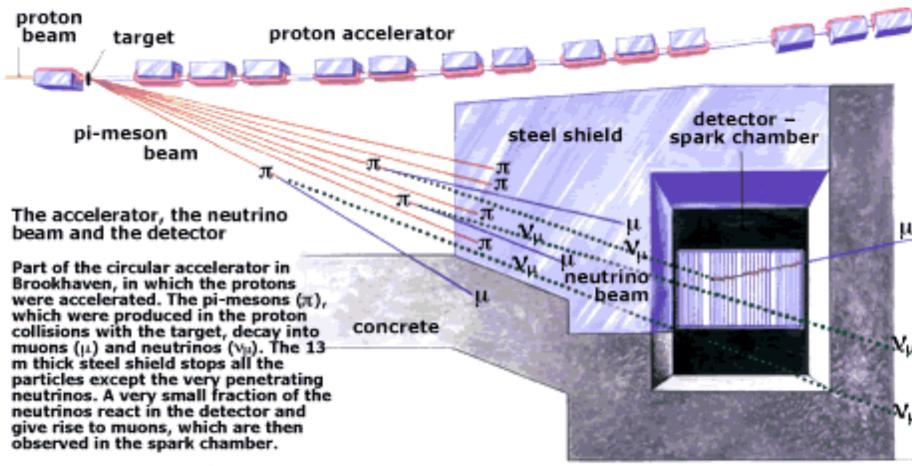


1995 Nobel prize for the detection of the neutrino

## The discovery of the muon neutrino: Lederman, Schwartz and Steinberger, 1962









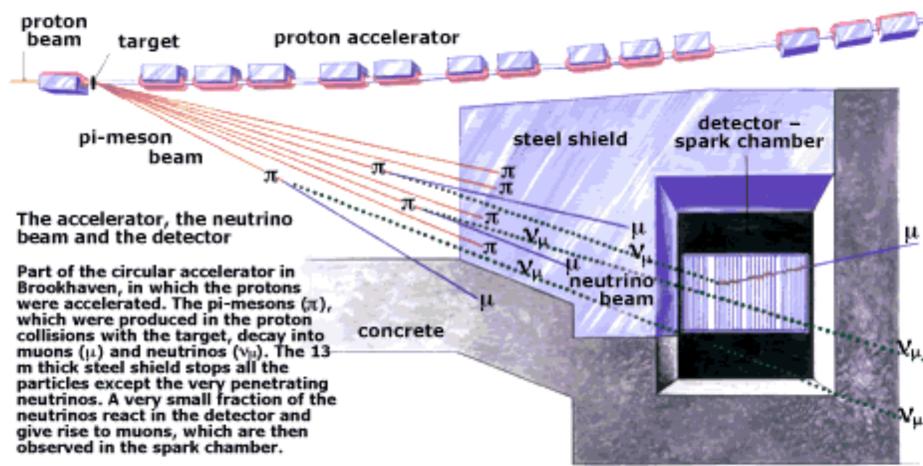
March 1963.

Based on a drawing in Scientific American,

## The discovery of the muon neutrino: Lederman, Schwartz and Steinberger, 1962





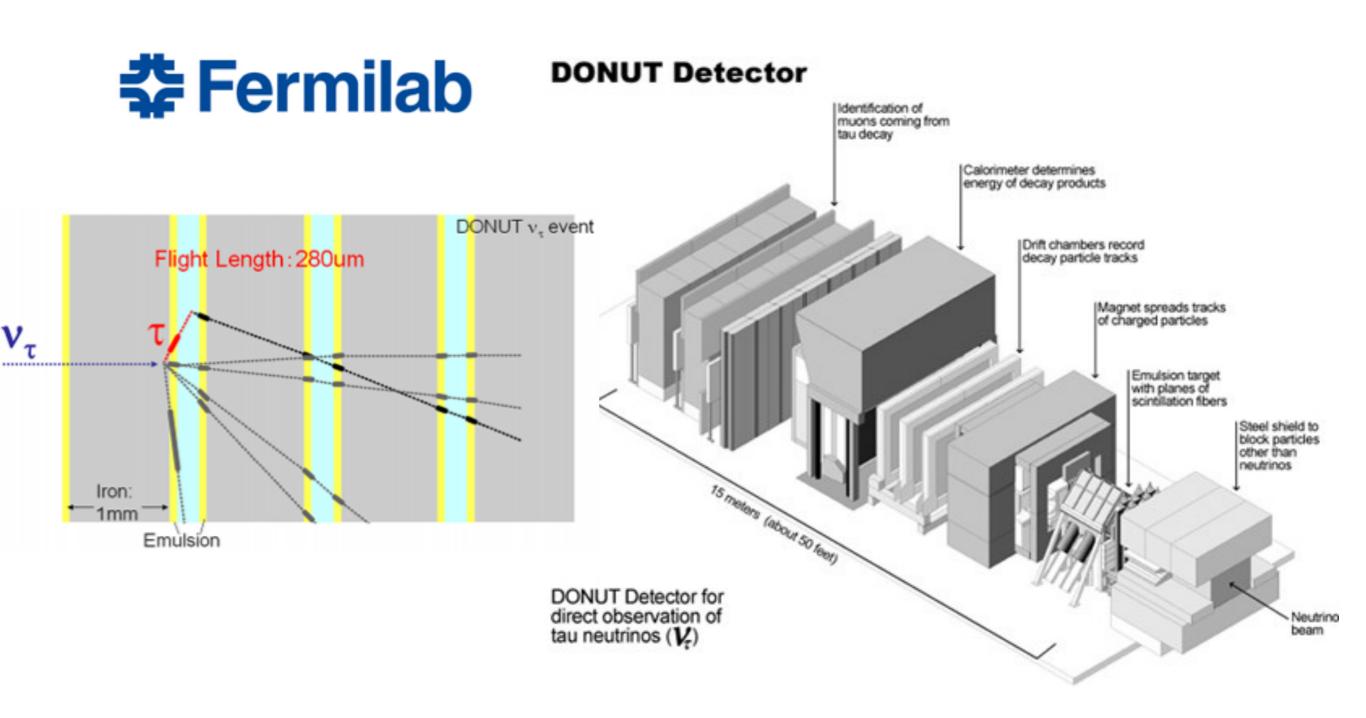






1988 Nobel prize for the neutrino beam method and the demonstration of the doublet structure of leptons through the discovery of the muon neutrino

## The discovery of the tau neutrino: The DONUT experiment, 2000

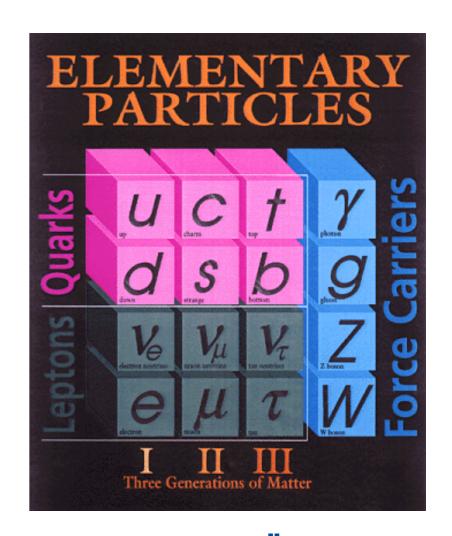


### The Standard Model: the big picture

### Fermions come in 3 families: identical except for their masses

#### 4 fundamental forces:

- Strong: quarks
- Electromagnetic: quarks and charged leptons
- Weak: all fermions
- Gravity: anything



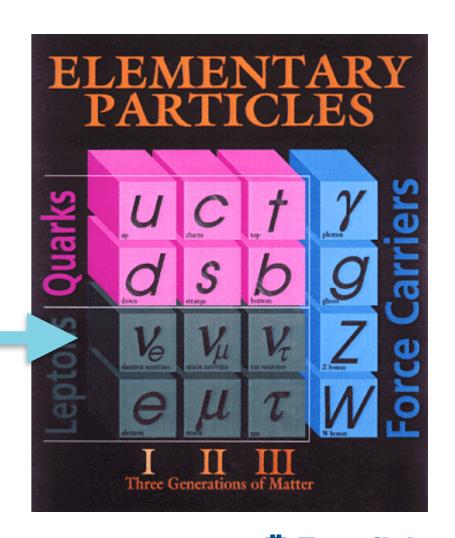
### The Standard Model: the big picture

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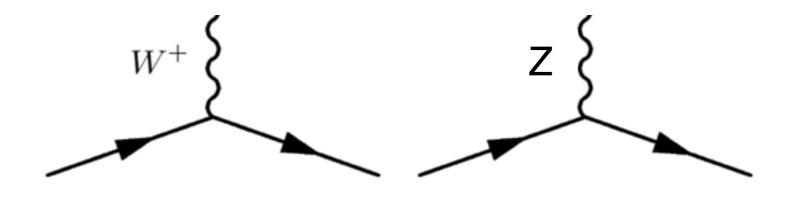
#### 4 fundamental forces:

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- Gravity: anything

Focus on neutrinos, particularly neutrino oscillations



## Before studying neutrino oscillations we need to understand the structure of weak interactions



$$\sum_{i=1}^{3} \bar{Q}_{Li} \mathcal{D} Q_{Li} = \sum_{i=1}^{3} \frac{g}{\sqrt{2}} \left( \bar{u}_{Li} \gamma^{\mu} d_{Li} W_{\mu}^{+} + \text{h.c.} \right) + \dots$$

In the interaction basis, this interaction is diagonal in flavor

The "interaction" basis:

$$\sum_{i=1}^{3} \bar{Q}_{Li} \not \!\!\!D Q_{Li} = \sum_{i=1}^{3} \frac{g}{\sqrt{2}} \left( \bar{u}_{Li} \gamma^{\mu} d_{Li} W_{\mu}^{+} + \text{h.c.} \right) + \dots$$

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Here, the masses do not need to be diagonal:

$$\sum_{i,j=1}^{3} y_{ij} \bar{Q}_{Li} \tilde{H} u_{Rj} \to \bar{\mathcal{U}}_L \cdot \mathbf{M}_u \cdot \mathcal{U}_R = \bar{\mathbf{u}}_L \cdot (V_L^u)^{\dagger} \cdot \mathbf{M}_u \cdot V_R^u \cdot \mathbf{u}_R$$

16

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quarks in mass basis
(masses are diagonal)
$$\mathbf{M}_u^{\mathrm{diag}}$$

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 quarks in mass basis (masses are diagonal) 
$$\mathbf{M}_u^{\mathrm{diag}}$$

$$\sum_{i,j=1}^{3} y_{ij} \bar{Q}_{Li} H d_{Rj} \to \bar{\mathcal{D}}_{L} \cdot \mathbf{M}_{d} \cdot \mathcal{D}_{R} = \bar{\mathbf{d}}_{L} \cdot (V_{L}^{d})^{\dagger} \cdot \mathbf{M}_{d} \cdot V_{R}^{d} \cdot \mathbf{d}_{R}$$

18

In the interaction basis, this interaction is diagonal in flavor

The "interaction" basis:

$$\sum_{i=1}^{3} \bar{Q}_{Li} \not \!\!\!D Q_{Li} = \sum_{i=1}^{3} \frac{g}{\sqrt{2}} \left( \bar{u}_{Li} \gamma^{\mu} d_{Li} W_{\mu}^{+} + \text{h.c.} \right) + \dots$$

The "mass" basis:

$$\sum_{i=1}^{3} \bar{Q}_{Li} \not \!\!\!D Q_{Li} = \frac{g}{\sqrt{2}} \left[ \bar{\mathbf{u}}_{L} \gamma^{\mu} (V_{L}^{u})^{\dagger} V_{L}^{d} \mathbf{d}_{L} W_{\mu}^{+} + \text{h.c.} \right] + \dots$$

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CKM mixing matrix

A physical down quark can be produced in association with an up, charm or top quark!

In the interaction basis, this interaction is diagonal in flavor

The "interaction" basis:

 $\sum_{i=1}^{3}$ 

Fermion states that have a well defined mass can have mixing under weak interactions!

The "ma

 $\sum_{i=1}^{3}$ 

u superpo

superposition of d, s, b

A physical down quark can be produced in association with an up, charm or top quark!

Same thing happens to neutrinos (with one additional complication: we do not know the mechanism that generates neutrino masses!)

 $|\nu_{\alpha}\rangle$  Interaction eigenstate (produced by weak interactions)

 $|
u_i
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Interaction eigenstate (produced by weak interactions)

Mass eigenstate (eigenstate of the Hamiltonian)

$$\mathcal{H}|\nu_i\rangle = E_i|\nu_i\rangle$$

$$|\nu_{\alpha}\rangle = \sum_{i=1}^{n} U_{\alpha i}^* |\nu_{i}\rangle$$

$$u_{\alpha} = \sum_{i} U_{\alpha i} \nu_{i}$$
 Field ψ annihilates state lψ>

$$\begin{split} \nu_{\alpha} &= \sum_{i} U_{\alpha i} \nu_{i} \quad \text{Field } \psi \text{ annihilates state } |\psi\rangle \\ |\nu_{\alpha}\rangle &= \nu_{\alpha}^{\dagger} |0\rangle = \sum_{i} \nu_{i}^{\dagger} U_{\alpha i}^{*} |0\rangle = \sum_{i} U_{\alpha i}^{*} |\nu_{i}\rangle \end{split}$$

 $|
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$$|\nu_{\alpha}\rangle = \nu_{\alpha}^{\dagger}|0\rangle = \sum_{i} \nu_{i}^{\dagger} U_{\alpha i}^{*}|0\rangle = \sum_{i} U_{\alpha i}^{*}|\nu_{i}\rangle$$

After producing a neutrino in a well defined flavor, it evolves like

$$|\nu_{\alpha}(t)\rangle = \sum_{i=1}^{n} U_{\alpha i}^{*} |\nu_{i}(t)\rangle$$

We also detect it in a defined flavor, so the amplitude we measure is

$$A_{\alpha\beta}(t) = \langle \nu_{\beta} | \nu_{\alpha}(t) \rangle$$



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The Hamiltonian is related to the time evolution operator, so

$$|\nu_i(t)\rangle = e^{-iE_it}|\nu_i(0)\rangle$$

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$$E_i = \sqrt{p_i^2 + m_i^2} \simeq p + \frac{m_i^2}{2E}$$

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$$= e^{-ipt} U_{\alpha i}^* U_{\beta i} \exp\left(-i\frac{m_i^2 t}{2E}\right)$$

Isolated an overall phase
Got lazy and stopped writing the sums
Used orthogonality condition:

$$\langle \nu_j | \nu_i \rangle = \delta_{ij}$$

 $\hbar = c = 1$ 

Let's isolate another overall phase

$$A_{\alpha\beta} = e^{-i(pt + m_1^2 t/2E)} U_{\alpha i}^* U_{\beta i} \exp\left(-i\frac{\Delta m_{i1}^2 t}{2E}\right)$$



 $\hbar = c = 1$ 

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To make it simpler, consider two neutrinos (two flavor framework, say  $\nu_e$  and  $\nu_\mu$ )

$$U = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}$$

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$$U = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}$$

Easy to explicitly show that

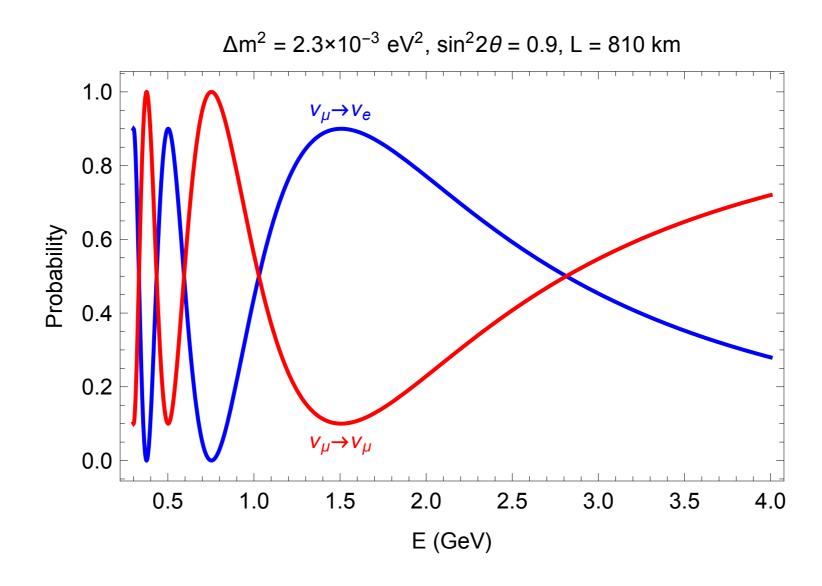
$$P(\nu_{\mu} \to \nu_{e}; L) = |A_{\mu e}(L)|^{2} = 4c_{\theta}^{2} s_{\theta}^{2} \sin^{2}\left(\frac{\Delta m^{2} L}{4E}\right) = \sin^{2}(2\theta) \sin^{2}\left(\frac{\Delta m^{2} L}{4E}\right)$$

Substituted t by L (c=1) There is only one  $\Delta m^2$ 

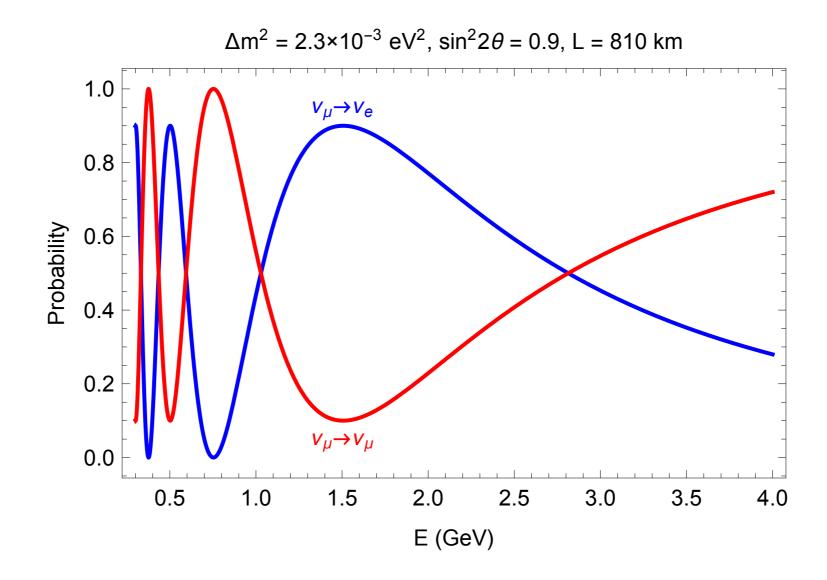


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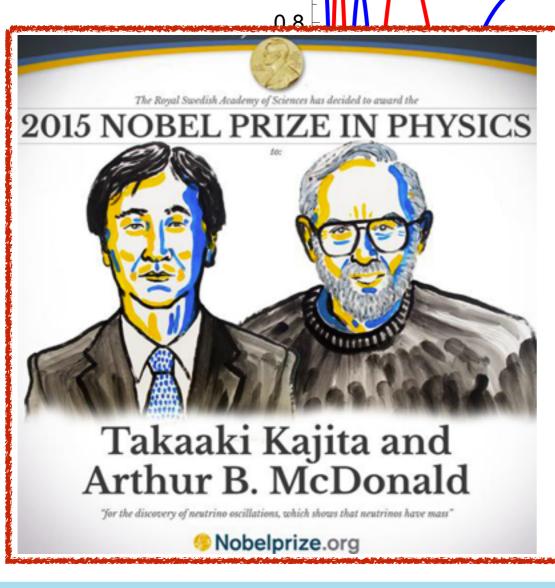
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The probability of producing  $v_{\mu}$  and detect  $v_{e}$  really oscillates!

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Neutrino oscillations necessarily imply neutrino masses, that is, physics beyond the Standard Model





2015 Nobel prize for the discovery of neutrino oscillations, which shows that neutrinos have mass

#### **Neutrino Oscillations**

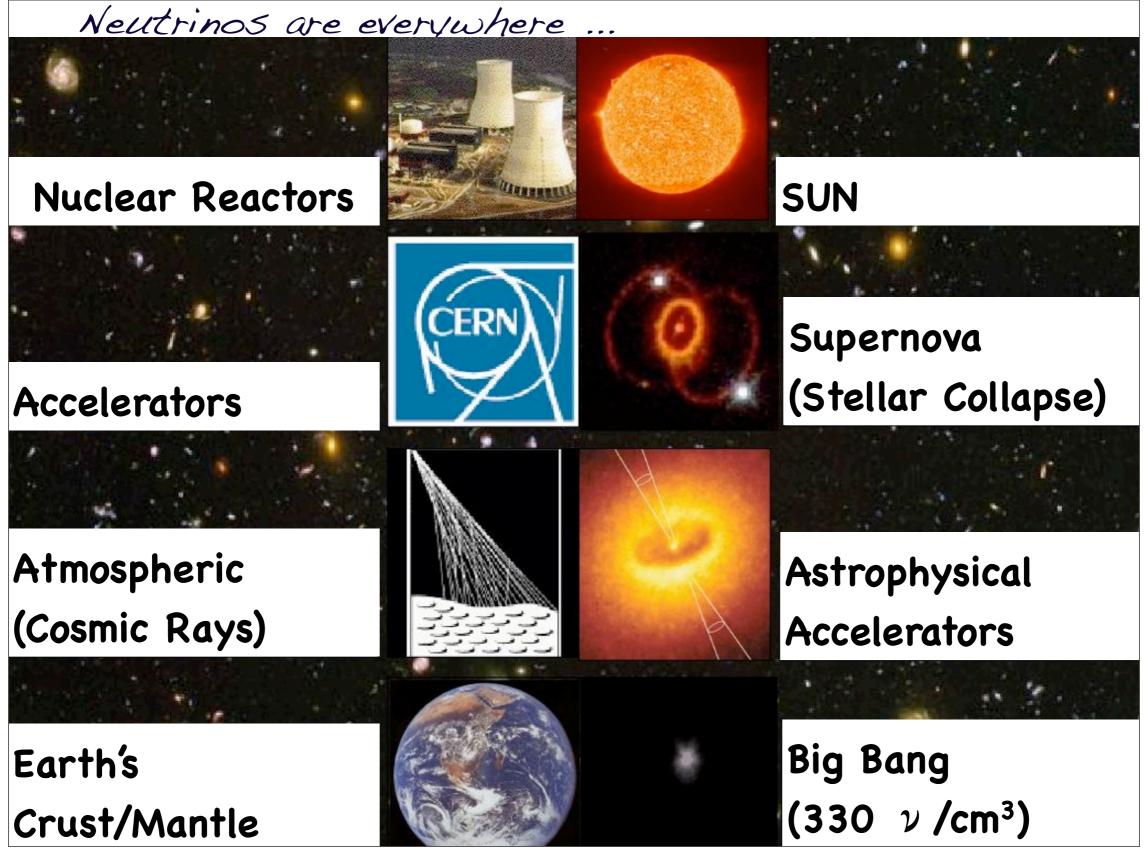
With three families there are 3 mixing angles, 2 mass splittings and one complex phase!

$$U = \begin{bmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{bmatrix}$$

Pontecorvo-Maki-Nakagawa-Sakata (PMNS) matrix

What do we know about neutrinos and their oscillations?

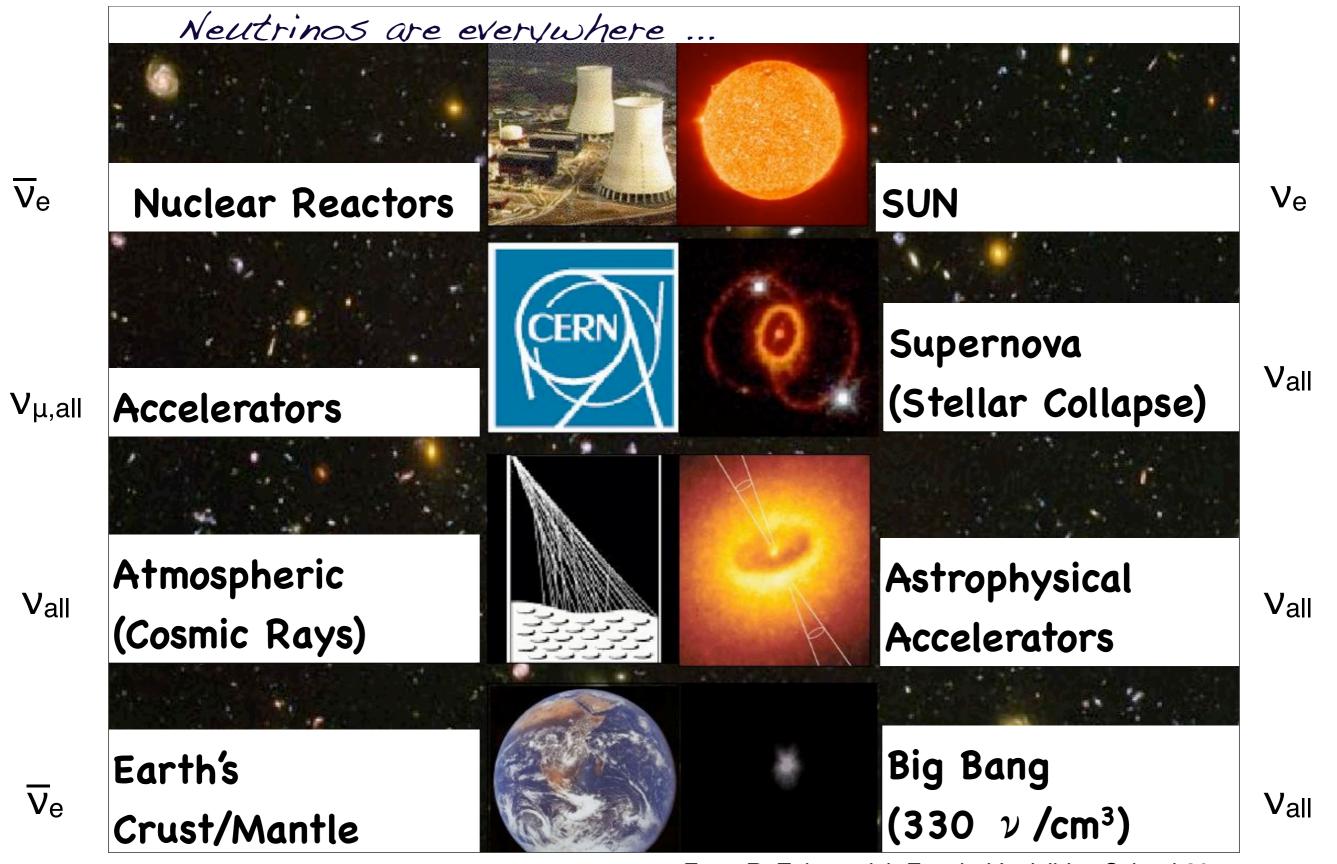
#### **Neutrinos**



From R. Zukanovich Funchal Invisibles School 2014



#### **Neutrinos**



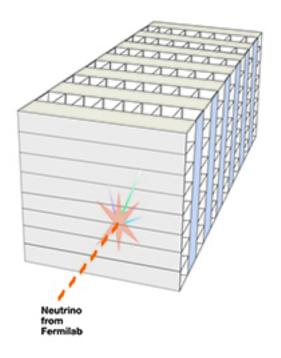
From R. Zukanovich Funchal Invisibles School 2014

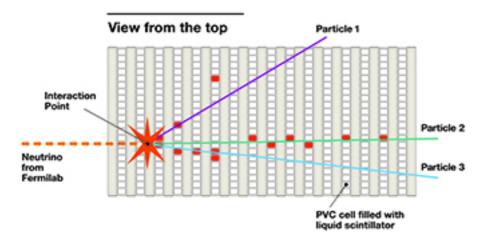


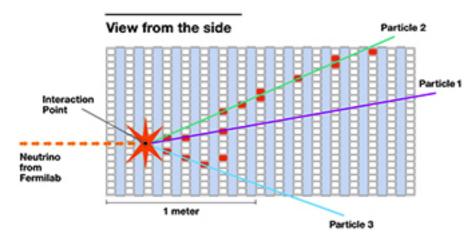
#### **Neutrino Oscillations**

The following is **not** in chronological order

#### 3D schematic of NOvA particle detector

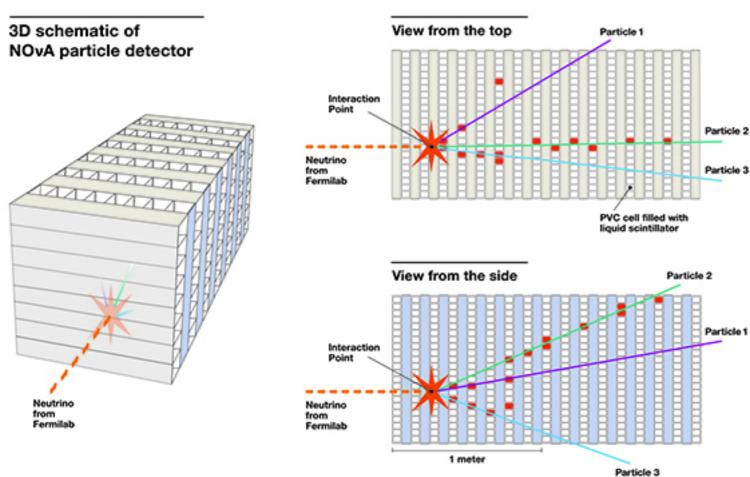






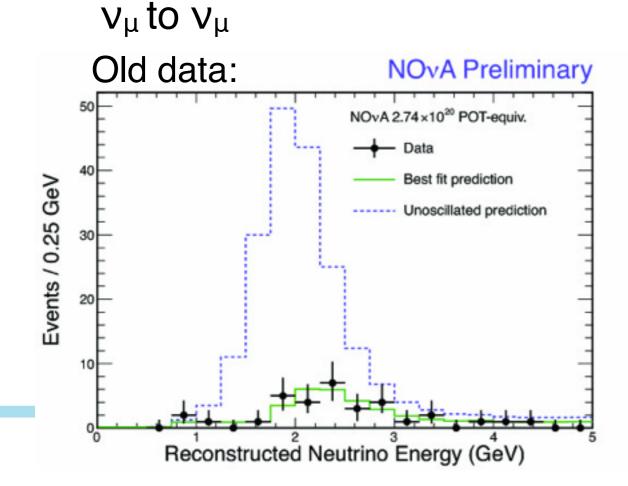


L = 810 km (from FNAL to Minnesota)

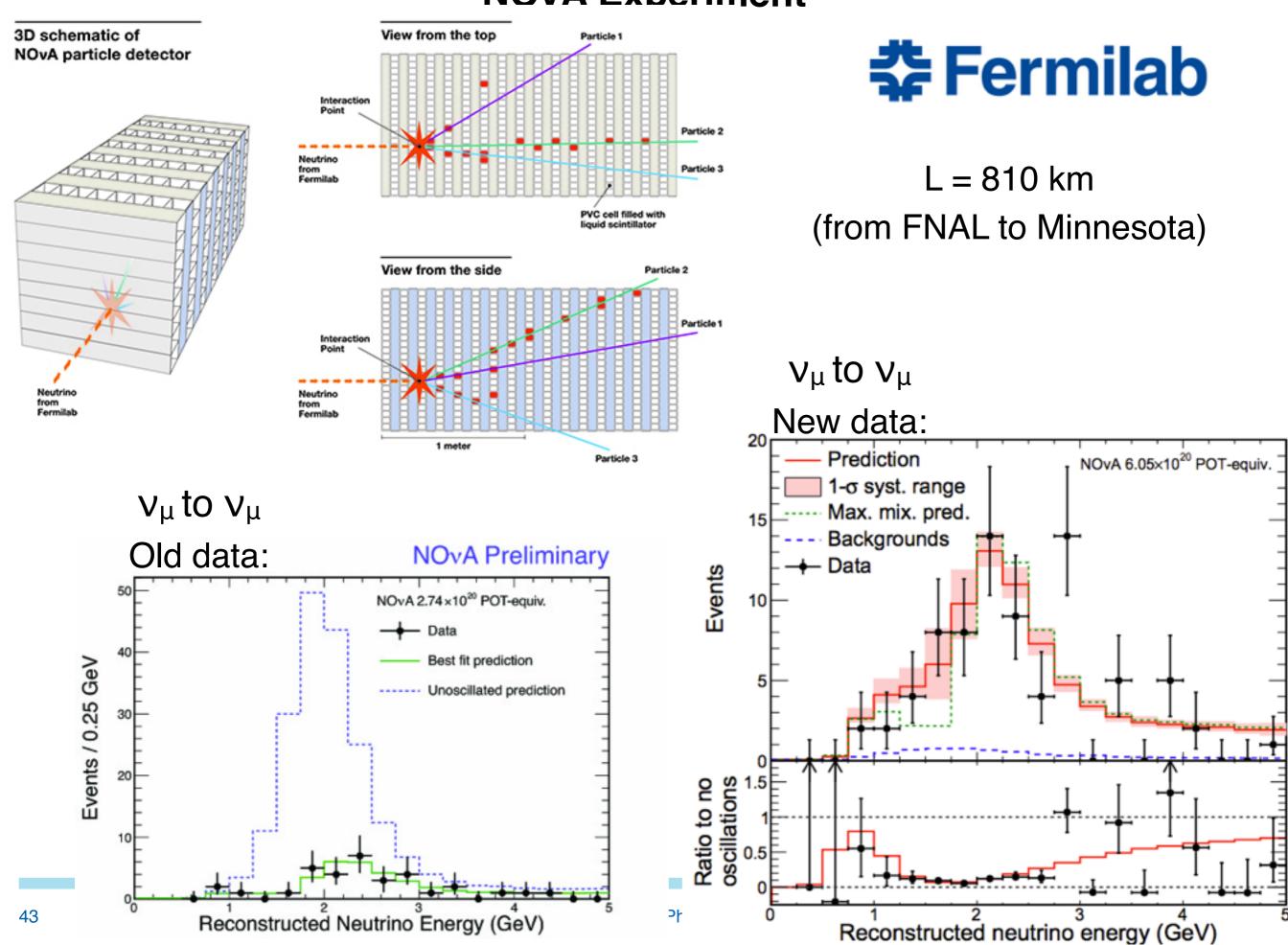


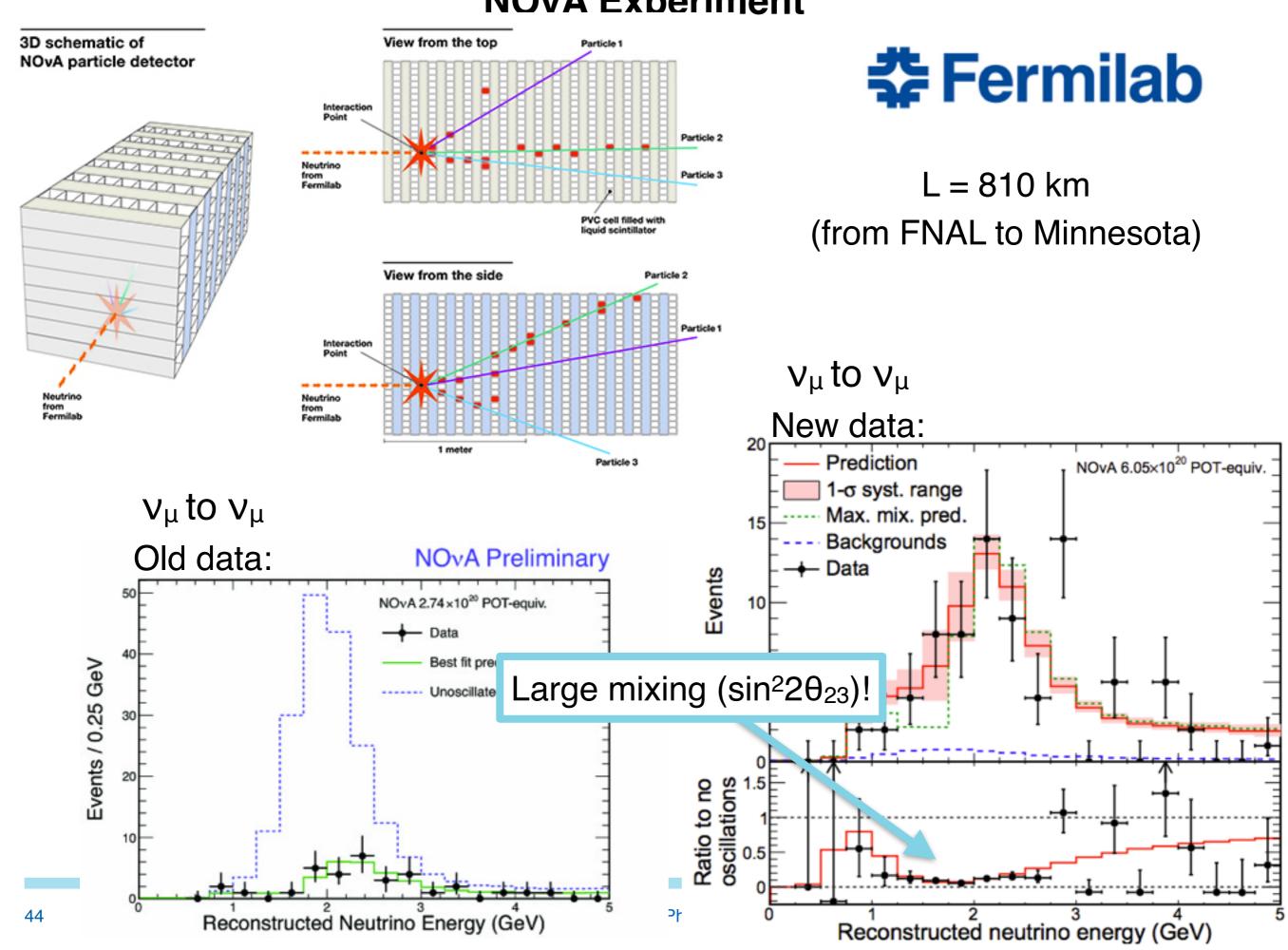


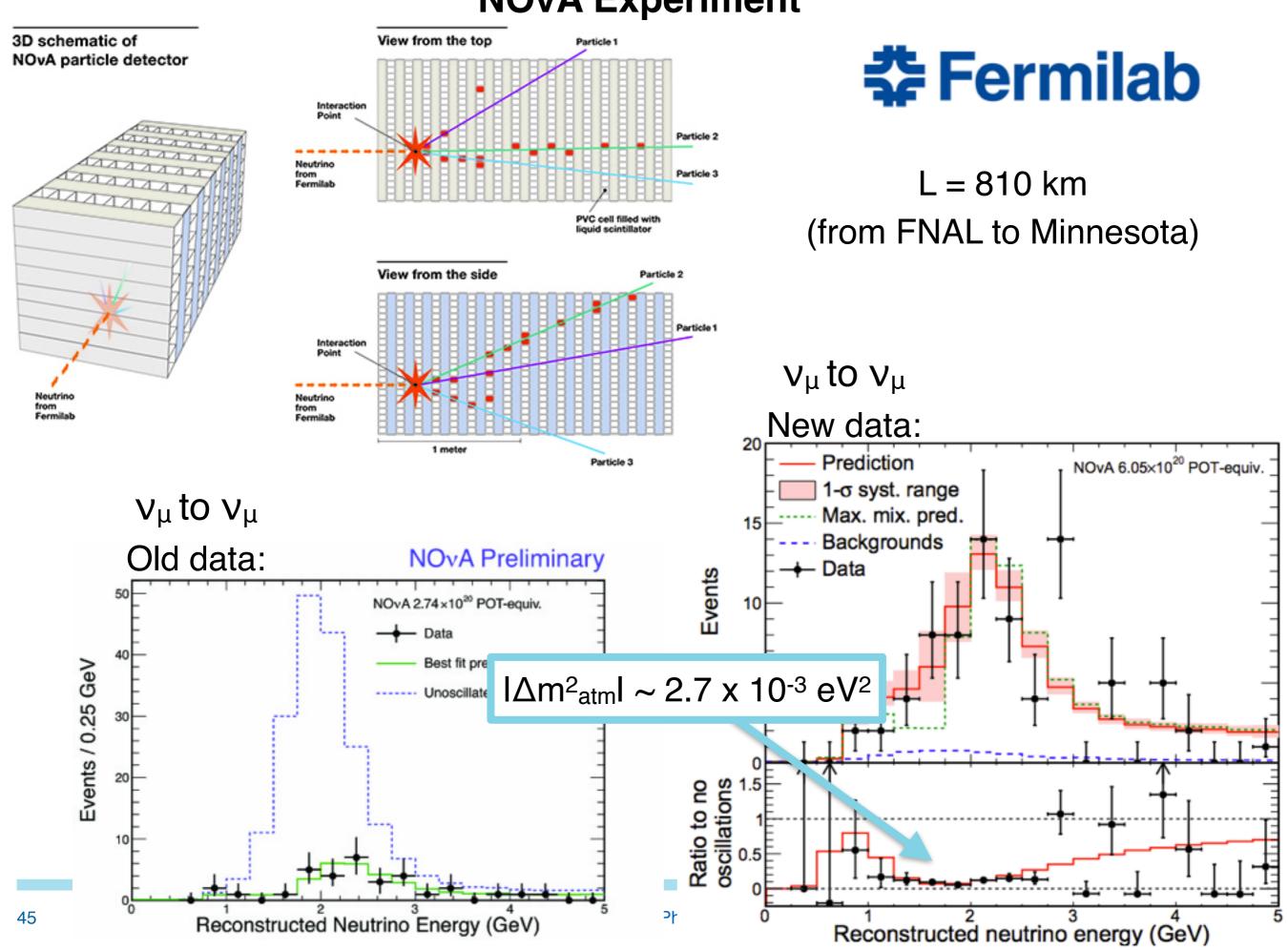
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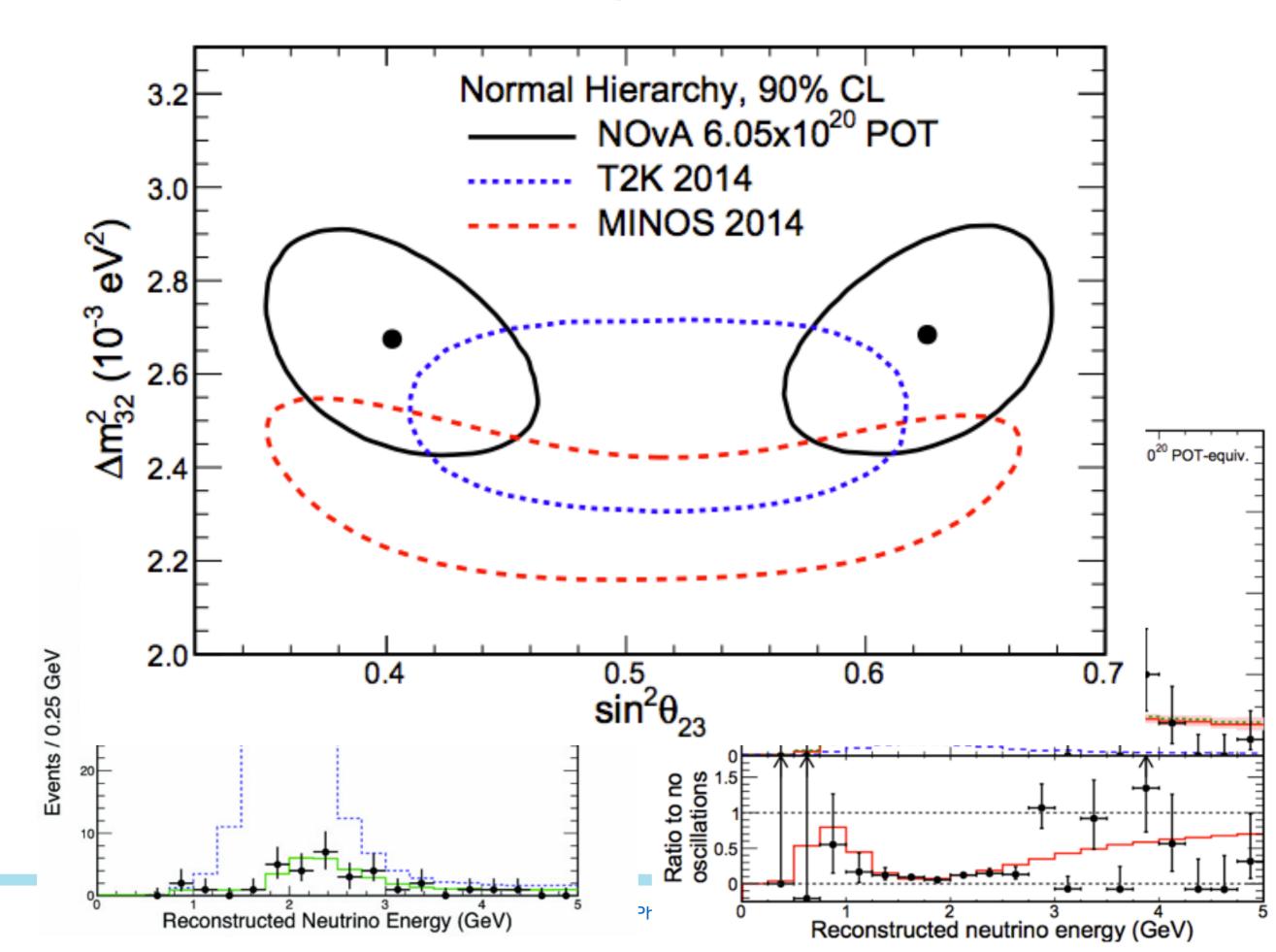








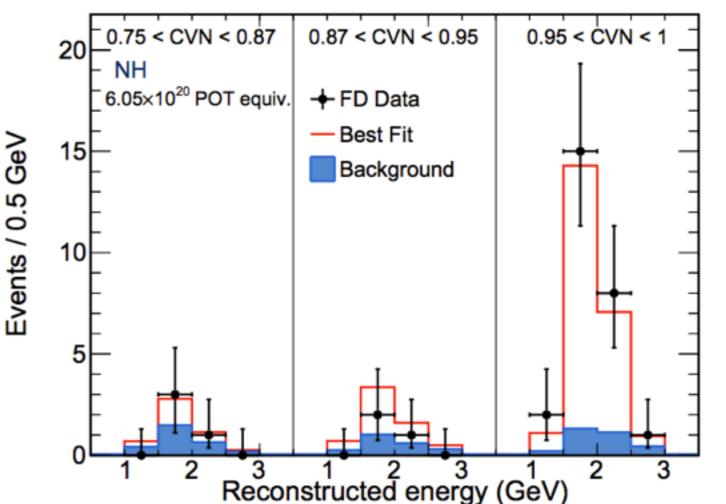


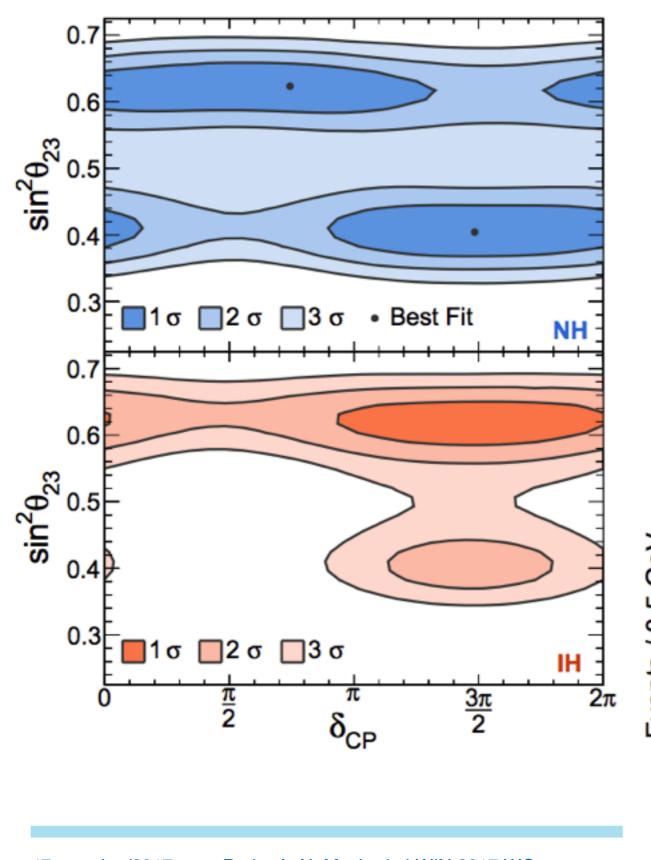




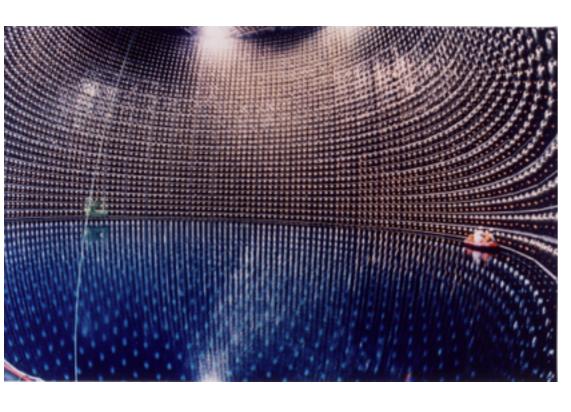
Sensitive to smaller mixing angle ( $\theta_{13}$ ) and to CP violating phase  $\delta!$ 

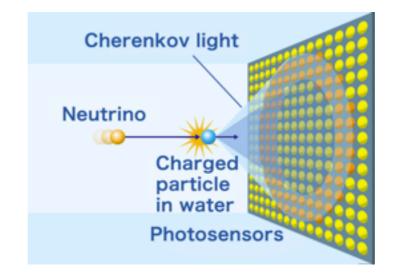
#### $\nu_{\mu}$ to $\nu_{e}$ :

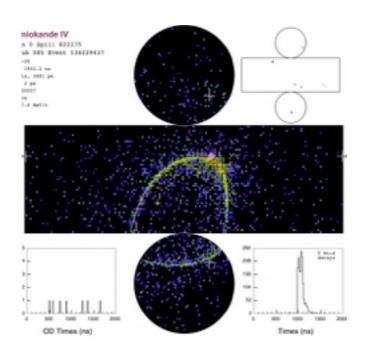




## **T2K Experiment**







15

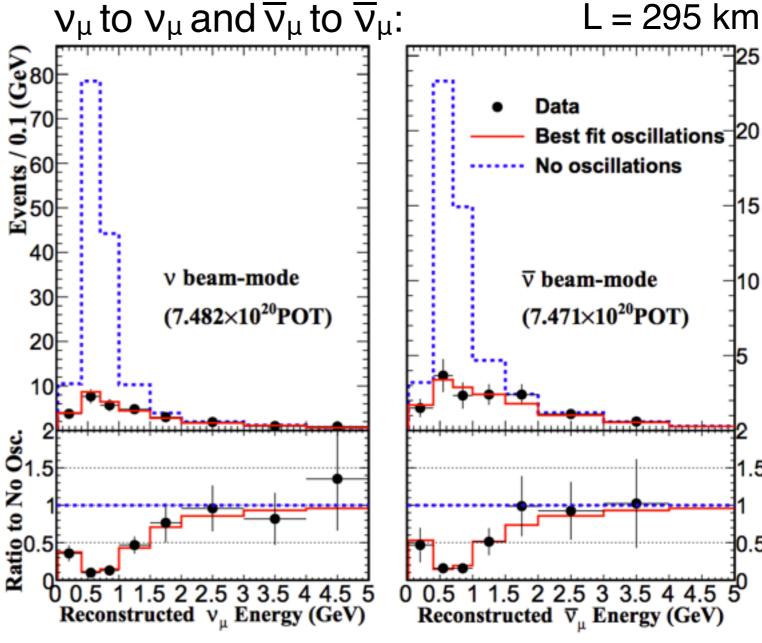
110

1.5

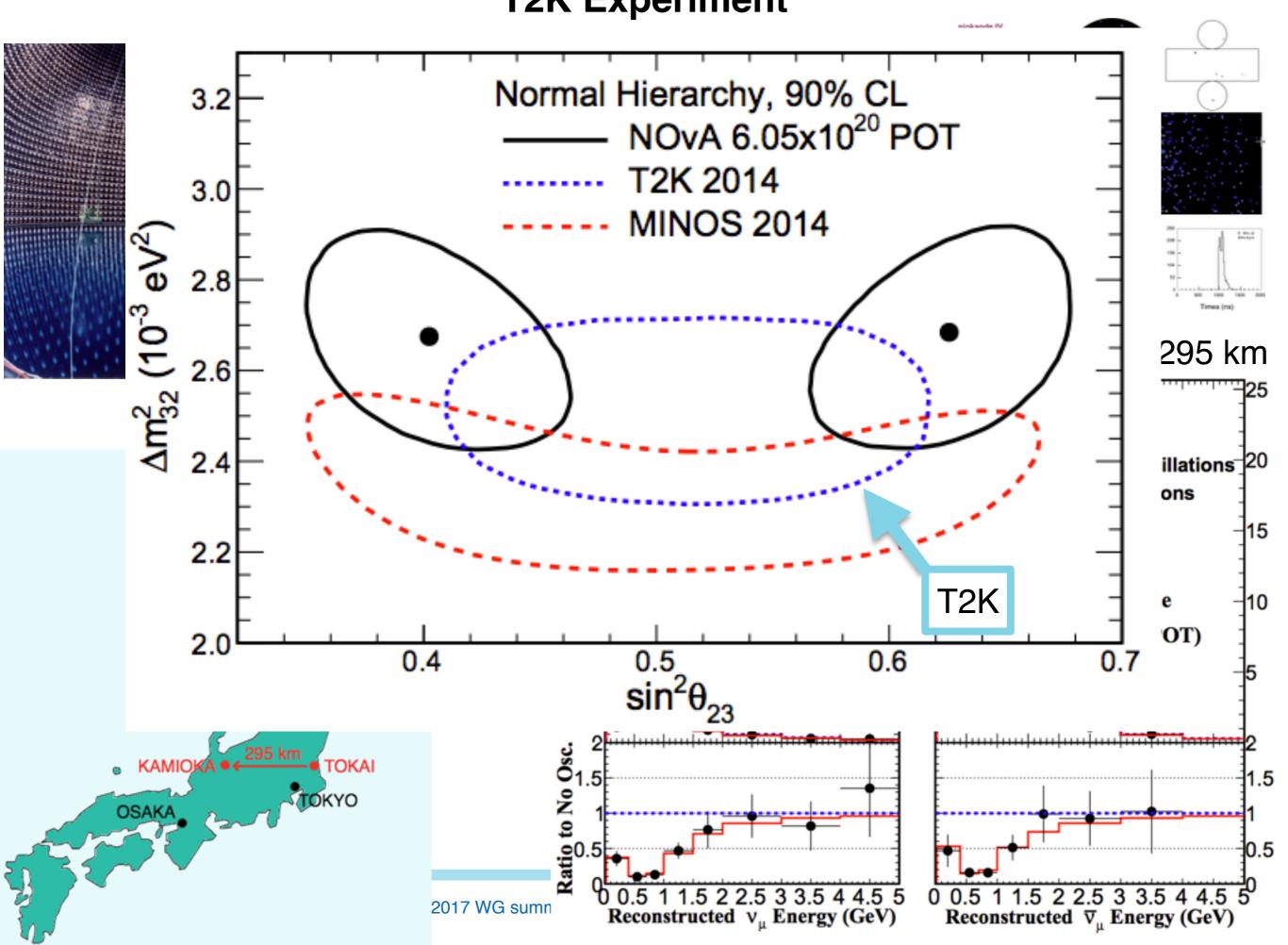
0.5



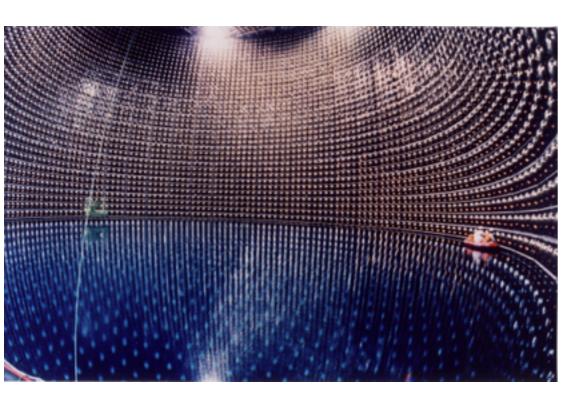


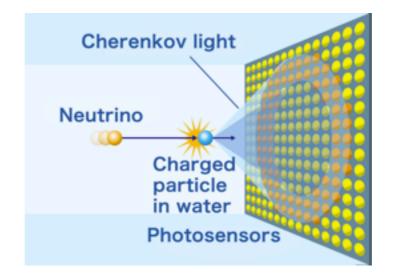


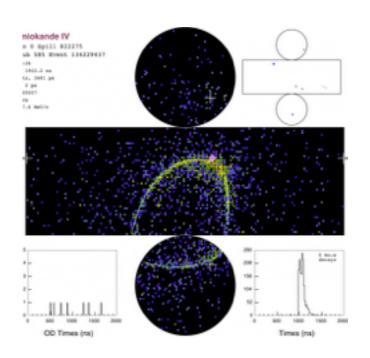
**T2K Experiment** 



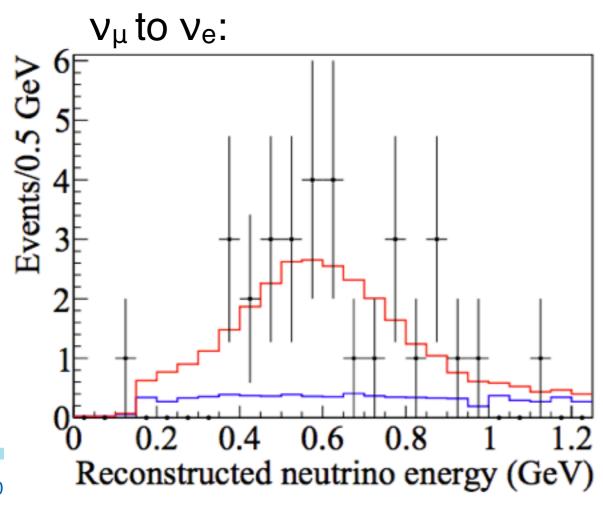
## **T2K Experiment**

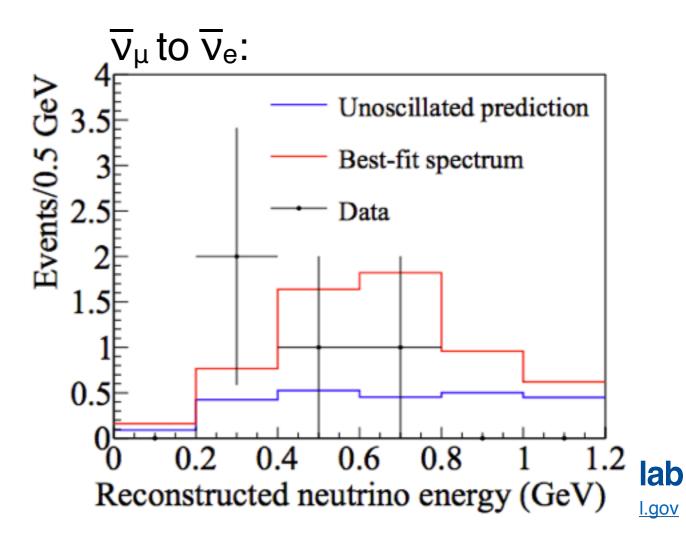






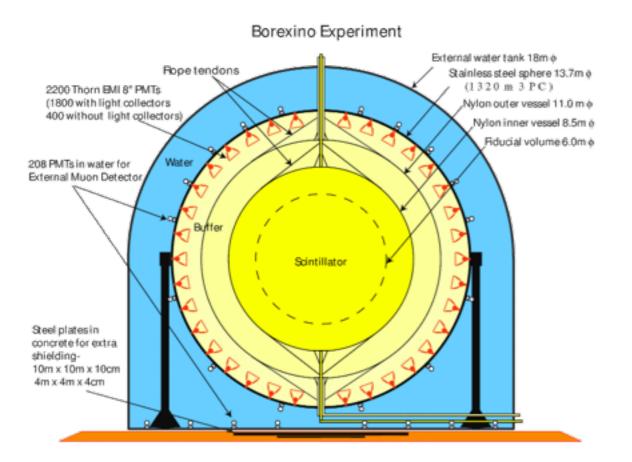
L = 295 km



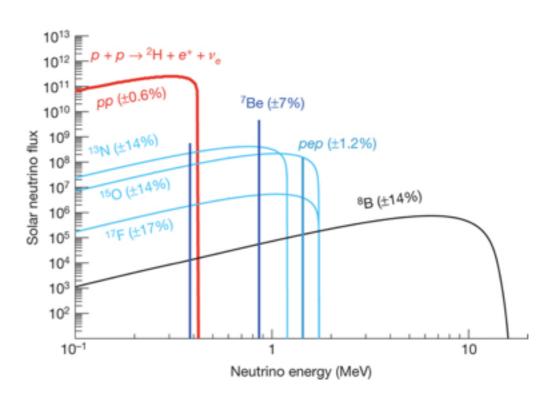


**T2K Experiment**  $\delta_{ ext{CP}}$  (radians) -68%CL (-2  $\triangle$  ln L = 2.3) 90%CL  $(-2\Delta \ln L = 4.61)$ Best-fit PDG 2015 — Normal Ordering Inverted Ordering L = 295 km0.02 0.03 0.01 0.04 0.07 0.05 0.06 0  $\sin^2 \theta_{13}$  $\overline{\nu}_{\mu}$  to  $\overline{\nu}_{e}$ :  $\nu_{\mu}$  to  $\nu_{e}$ : Events/0.5 GeV Events/0.5 GeV Unoscillated prediction Best-fit spectrum Data 0.5 0.6 0.8 0.2 0.6 0.8 0.4 0.4 lab Reconstructed neutrino energy (GeV) Reconstructed neutrino energy (GeV) 51 **l.gov** 

#### **Borexino experiment**

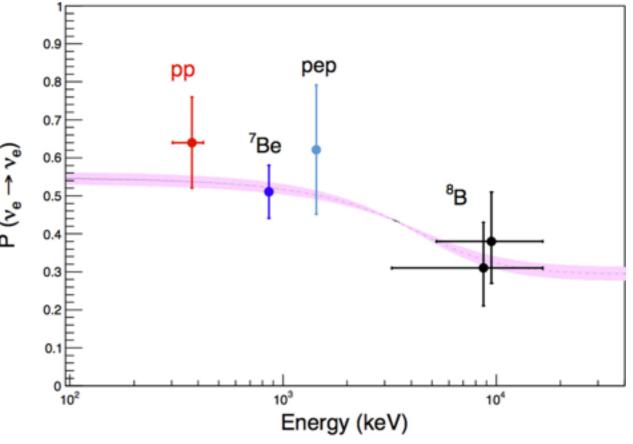


#### Solar neutrinos

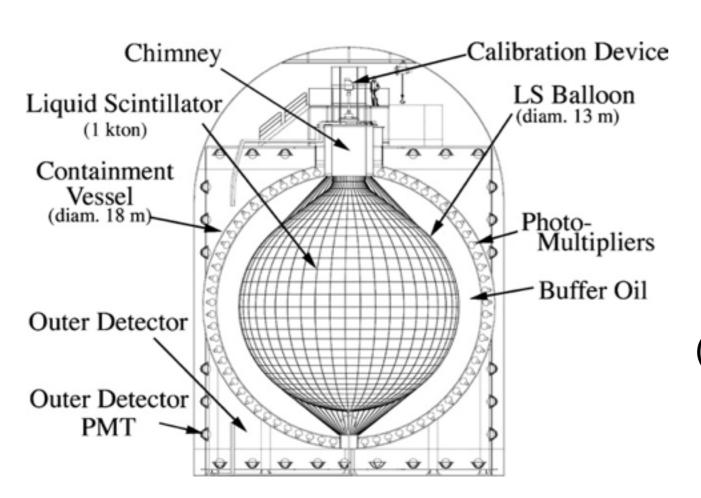


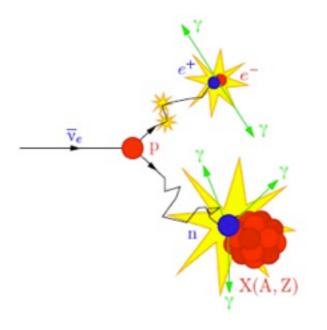
Matter effects on neutrino oscillation (MSW)

Consistent with  $\Delta m^2_{sol} > 0$ 



## **KamLAND Experiment**

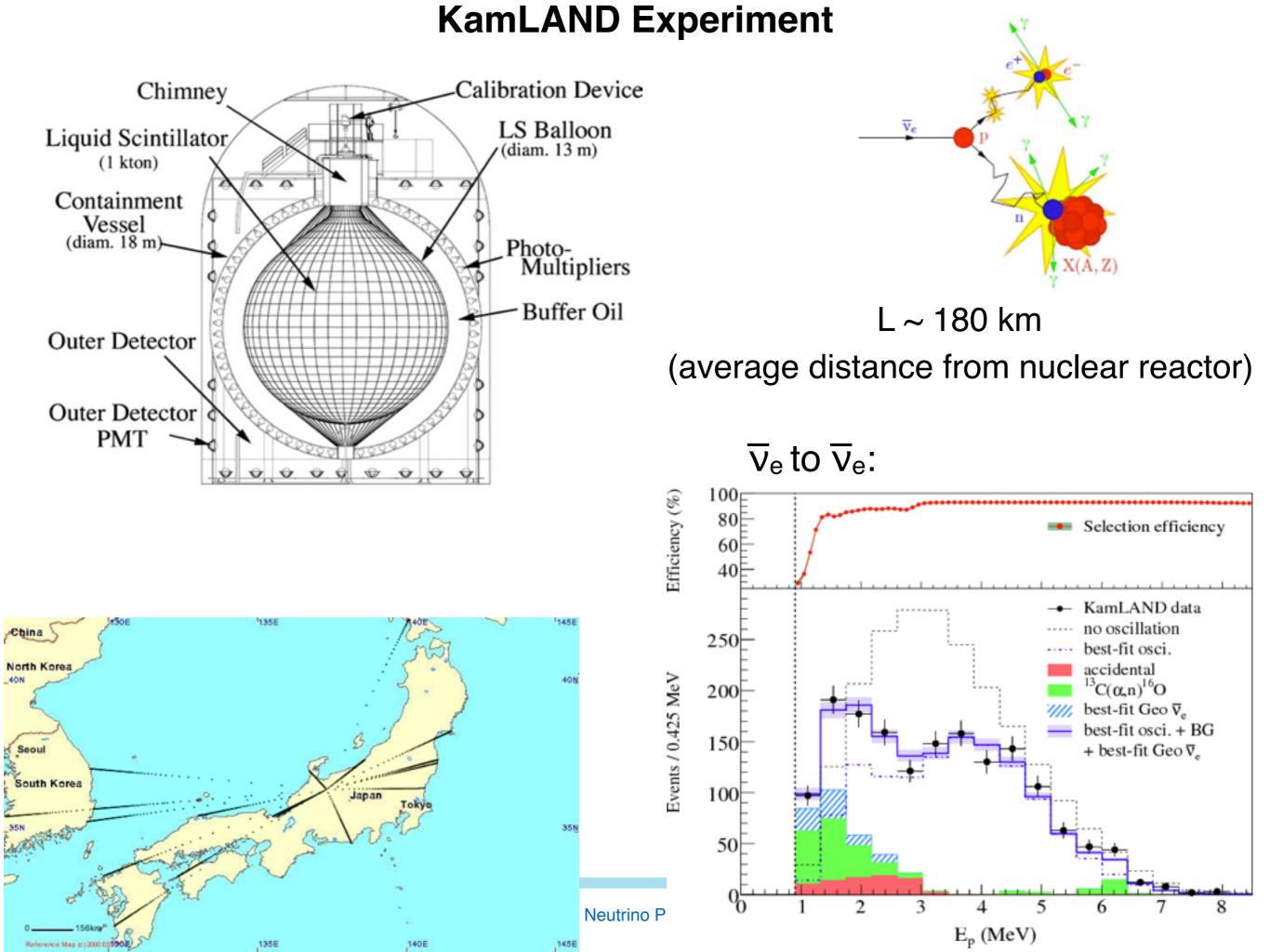


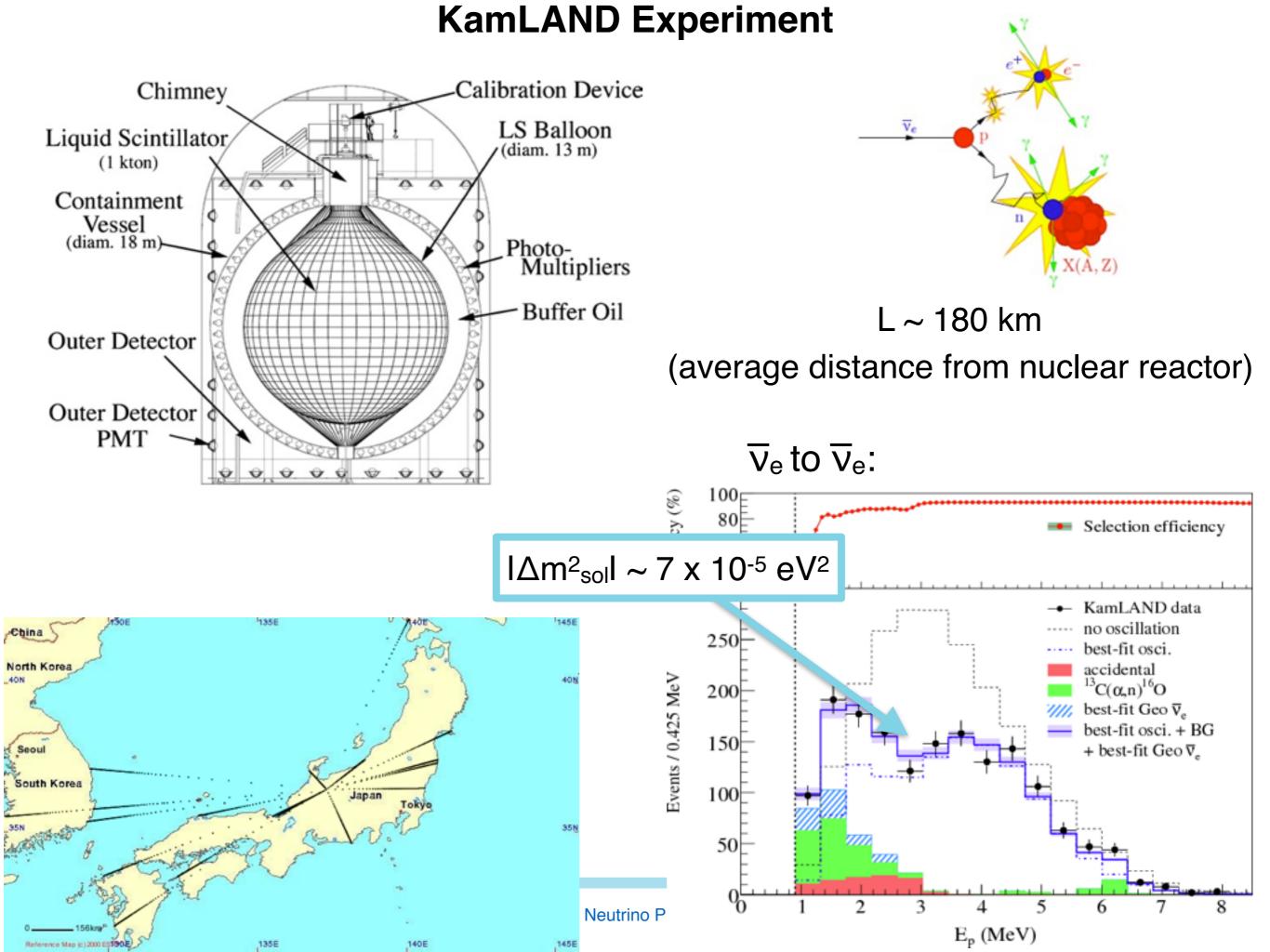


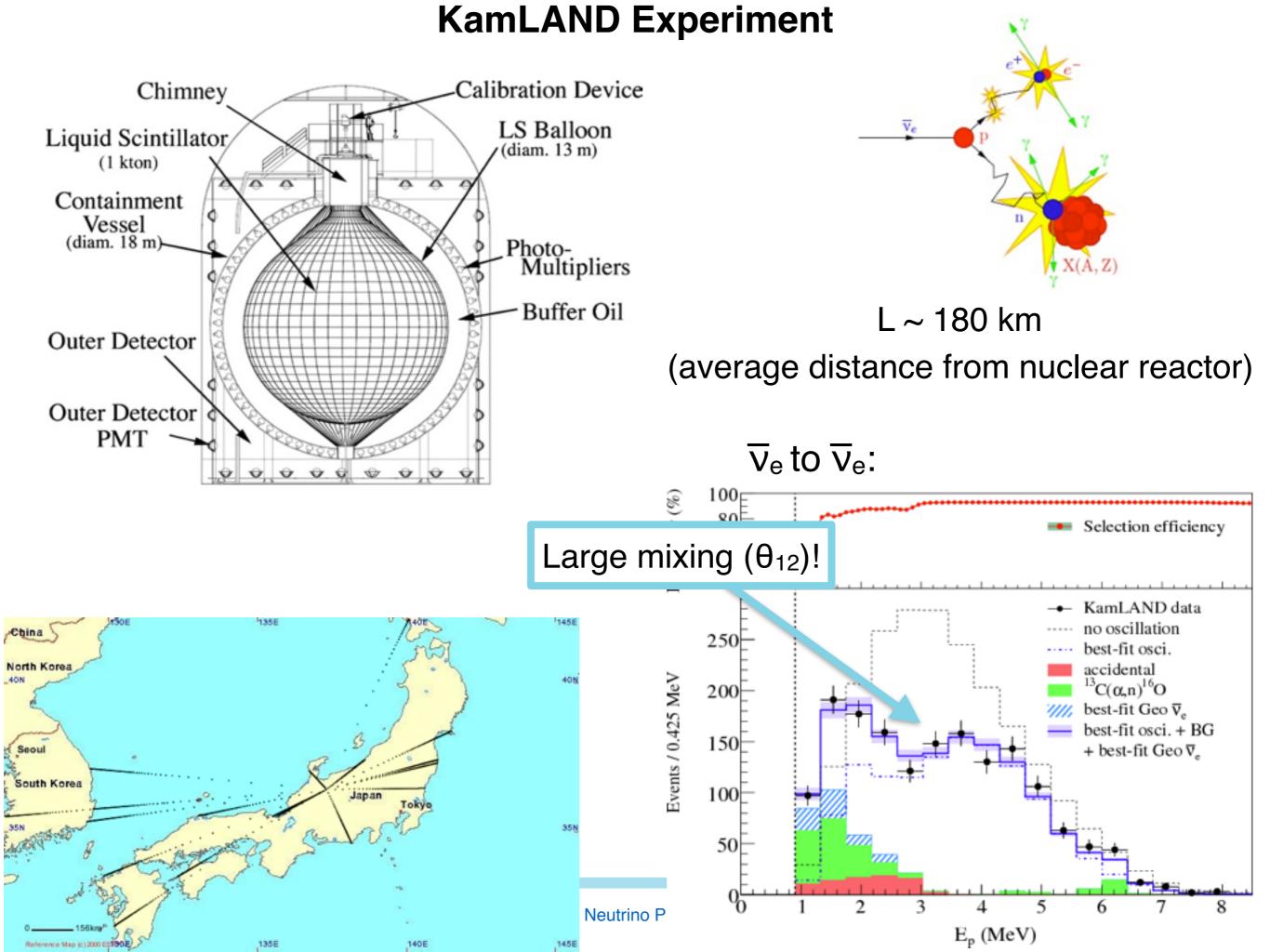
L ~ 180 km (average distance from nuclear reactor)

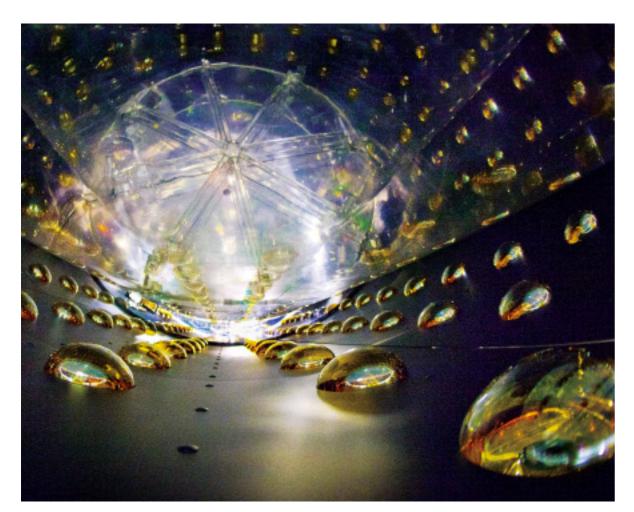


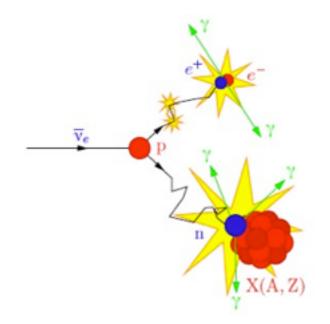








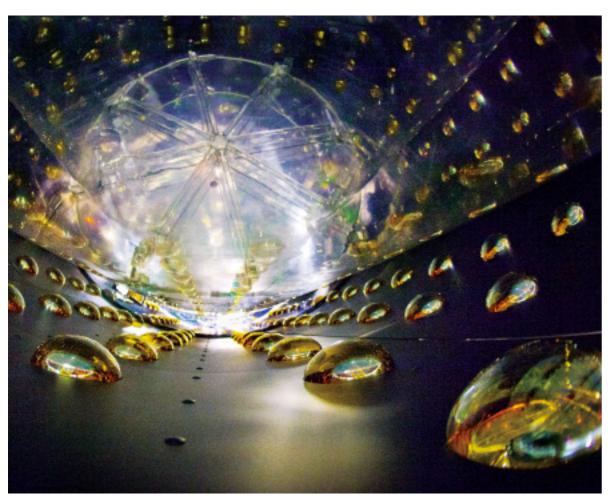


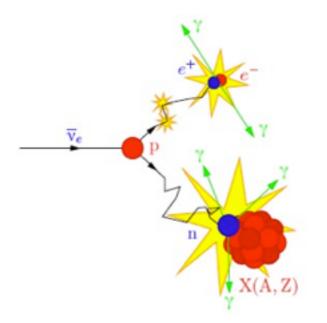


L between 363m and 1985m (many detectors and many reactors)





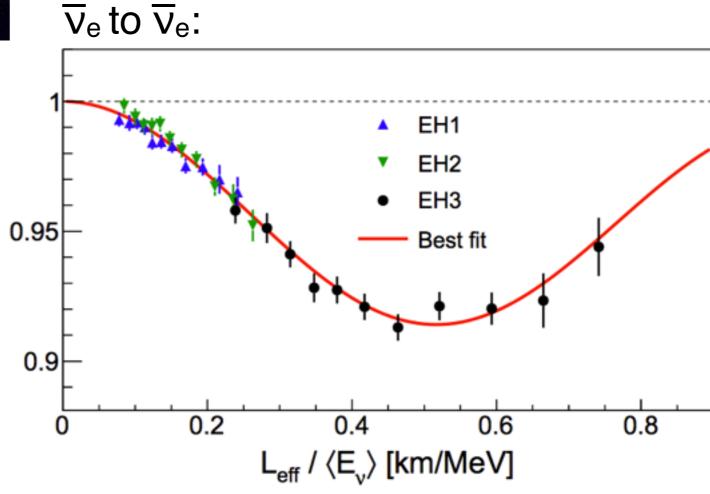




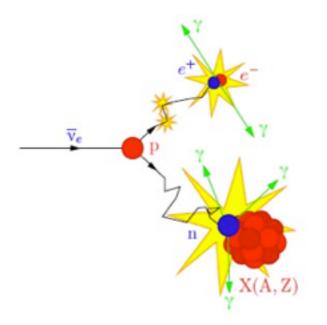
L between 363m and 1985m (many detectors and many reactors)



Daya Bay Cores



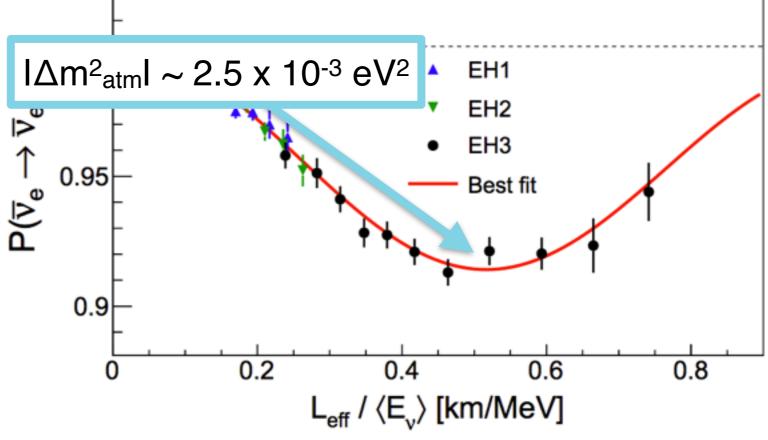




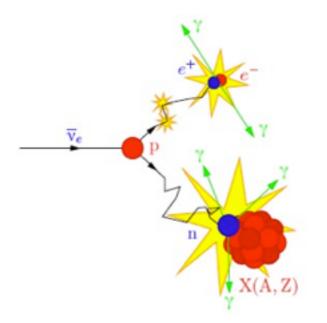
L between 363m and 1985m (many detectors and many reactors)

 $\overline{\nu}_e$  to  $\overline{\nu}_e$ :





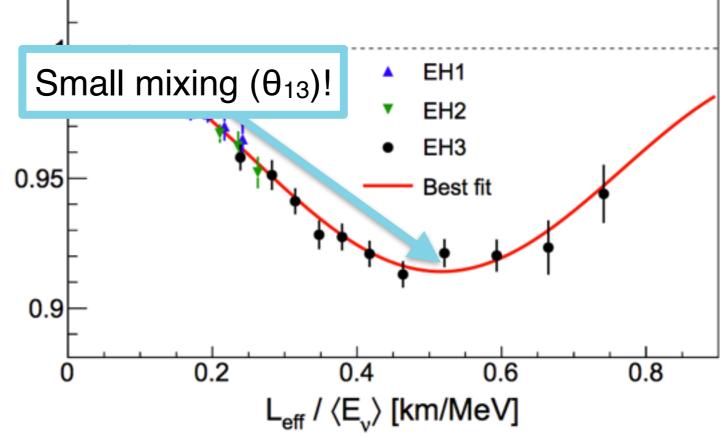




L between 363m and 1985m (many detectors and many reactors)







#### **Many Experiments**

MINOS/MINOS+ FNAL, accelerator nus, atmospheric parameters

LSND LANL, accelerator nus, anomalous Δm² (too large)

MiniBooNE FNAL, accelerator nus, anomalous  $\Delta m^2$  (too large)

MicroBooNE FNAL, accelerator nus, complementary to MiniBooNE

Minerva FNAL, accelerator nus, nu-nucleus cross section measurements

ICARUS LNGS, accelerator nus, atmospheric parameters

**OPERA** LNGS, accelerator nus, atmospheric parameters  $(\nu_{\mu}$  to  $\nu_{\tau}$  oscillations)

**SNO** Sudbury, solar neutrinos

**Super Kamiokande** Japan, atmospheric nus, atmospheric parameters **RENO** Korea, reactor neutrinos, atmospheric parameters

**Double Chooz** France, reactor neutrinos, atmospheric parameters

- - -

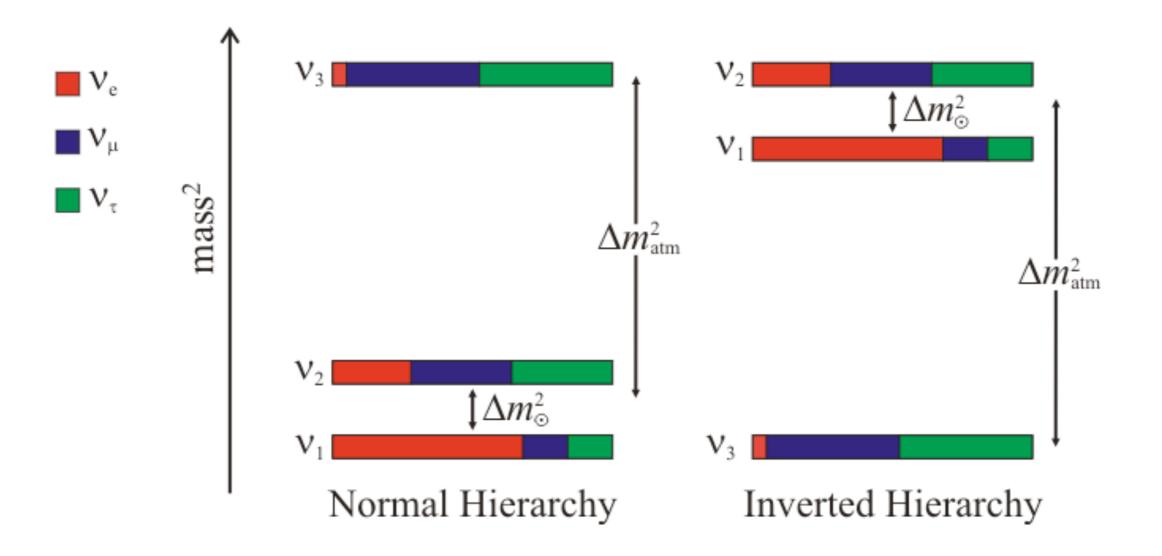
#### Many Experiments

$$U = \begin{bmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{bmatrix}$$

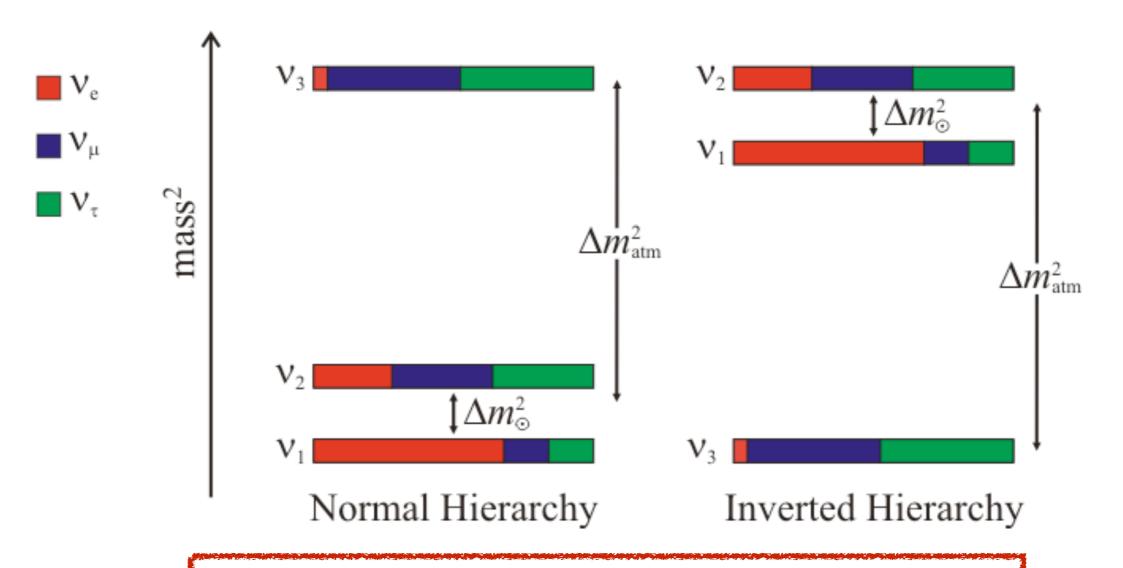
NuFIT 3.0 (2016)

	Normal Ordering (best fit)		Inverted Ordering ( $\Delta \chi^2 = 0.83$ )		Any Ordering
	bfp $\pm 1\sigma$	$3\sigma$ range	bfp $\pm 1\sigma$	$3\sigma$ range	$3\sigma$ range
$\sin^2 \theta_{12}$	$0.306^{+0.012}_{-0.012}$	$0.271 \rightarrow 0.345$	$0.306^{+0.012}_{-0.012}$	$0.271 \rightarrow 0.345$	$0.271 \rightarrow 0.345$
$ heta_{12}/^{\circ}$	$33.56^{+0.77}_{-0.75}$	$31.38 \rightarrow 35.99$	$33.56^{+0.77}_{-0.75}$	$31.38 \rightarrow 35.99$	$31.38 \rightarrow 35.99$
$\sin^2 \theta_{23}$	$0.441^{+0.027}_{-0.021}$	$0.385 \rightarrow 0.635$	$0.587^{+0.020}_{-0.024}$	$0.393 \rightarrow 0.640$	0.385  ightarrow 0.638
$\theta_{23}/^{\circ}$	$41.6^{+1.5}_{-1.2}$	$38.4 \rightarrow 52.8$	$50.0^{+1.1}_{-1.4}$	$38.8 \rightarrow 53.1$	$38.4 \rightarrow 53.0$
$\sin^2  heta_{13}$	$0.02166^{+0.00075}_{-0.00075}$	$0.01934 \to 0.02392$	$0.02179^{+0.00076}_{-0.00076}$	$0.01953 \to 0.02408$	$0.01934 \rightarrow 0.02397$
$ heta_{13}/^{\circ}$	$8.46^{+0.15}_{-0.15}$	$7.99 \rightarrow 8.90$	$8.49^{+0.15}_{-0.15}$	$8.03 \rightarrow 8.93$	7.99  ightarrow 8.91
$\delta_{\mathrm{CP}}/^{\circ}$	$261^{+51}_{-59}$	$0 \rightarrow 360$	$277^{+40}_{-46}$	$145 \rightarrow 391$	0  o 360
$\frac{\Delta m_{21}^2}{10^{-5}~{\rm eV}^2}$	$7.50^{+0.19}_{-0.17}$	$7.03 \rightarrow 8.09$	$7.50^{+0.19}_{-0.17}$	$7.03 \rightarrow 8.09$	$7.03 \rightarrow 8.09$
$\frac{\Delta m_{3\ell}^2}{10^{-3} \ {\rm eV^2}}$	$+2.524^{+0.039}_{-0.040}$	$+2.407 \rightarrow +2.643$	$-2.514^{+0.038}_{-0.041}$	$-2.635 \rightarrow -2.399$	$\begin{bmatrix} +2.407 \to +2.643 \\ -2.629 \to -2.405 \end{bmatrix}$
'					•

## What is the mass ordering?



What is the mass ordering?

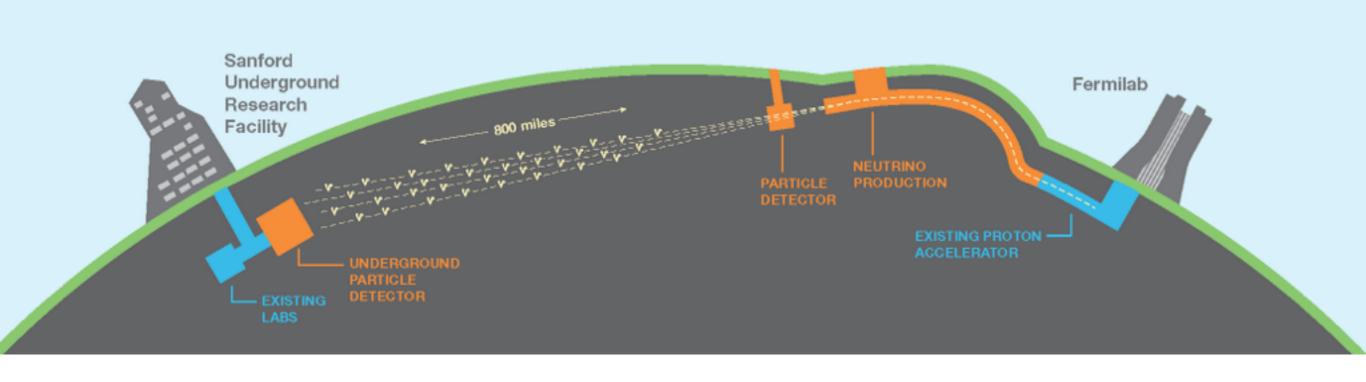


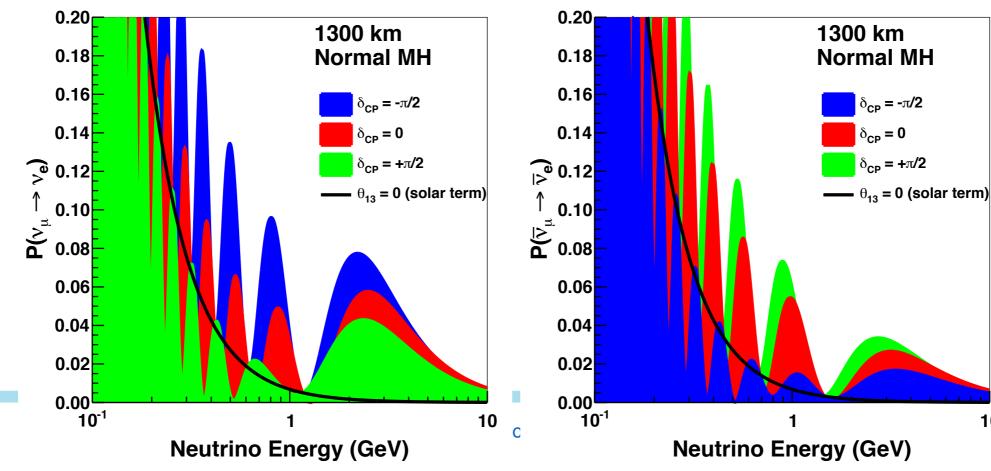
Is there CP violation in the lepton sector?

What is the octant of  $\theta_{23}$ ? Or: Is there more  $\nu_{\mu}$  or  $\nu_{\tau}$  in  $\nu_{2}$ ?









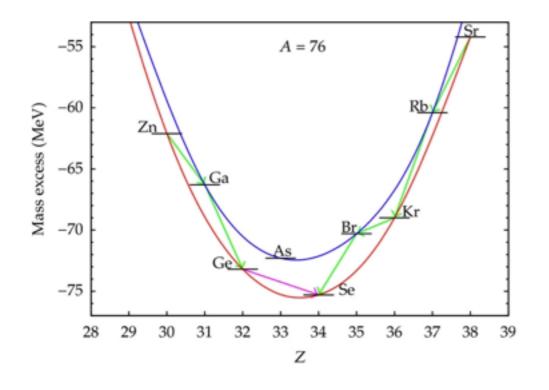


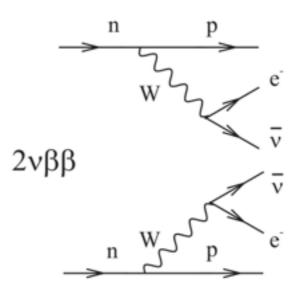
Are neutrinos Dirac or Majorana?
Or:

Are neutrinos their own antiparticle?

Are neutrinos Dirac or Majorana?
Or:

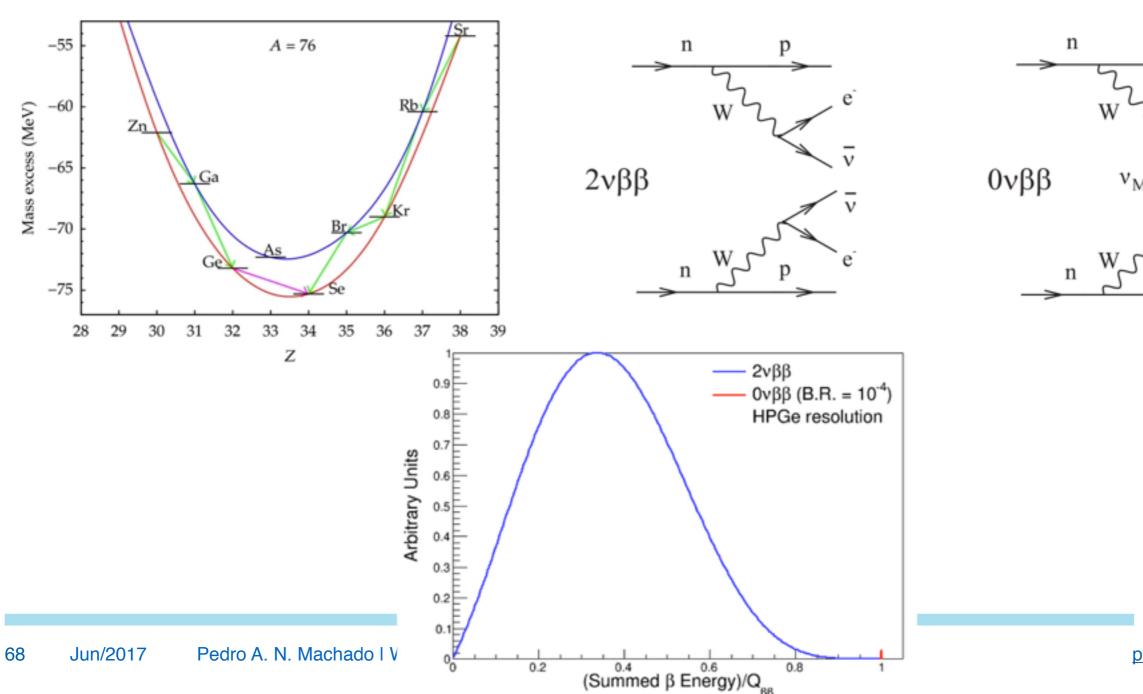
Are neutrinos their own antiparticle?





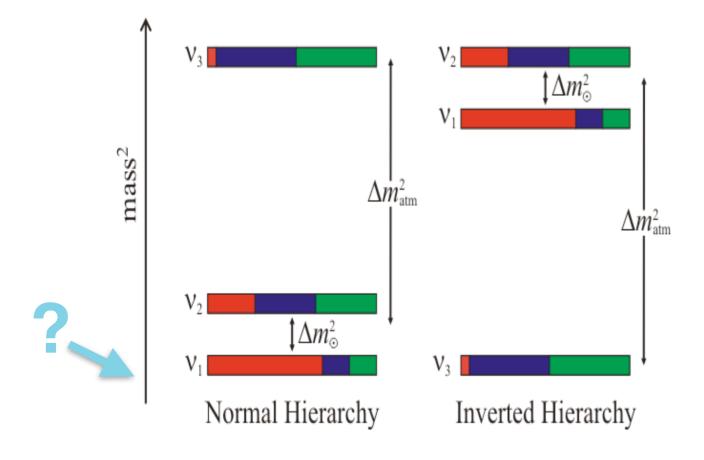
Are neutrinos Dirac or Majorana? Or:

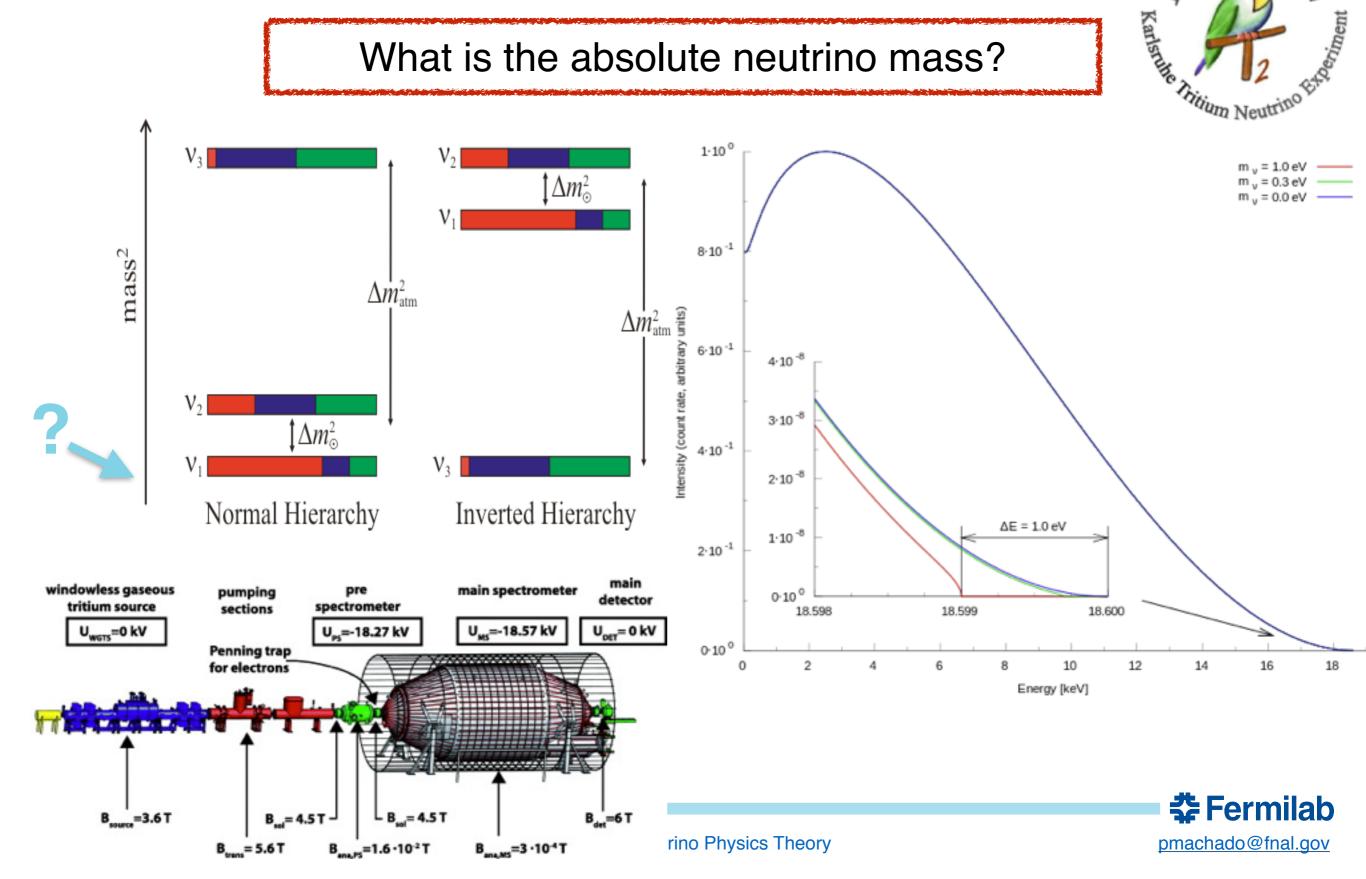
Are neutrinos their own antiparticle?



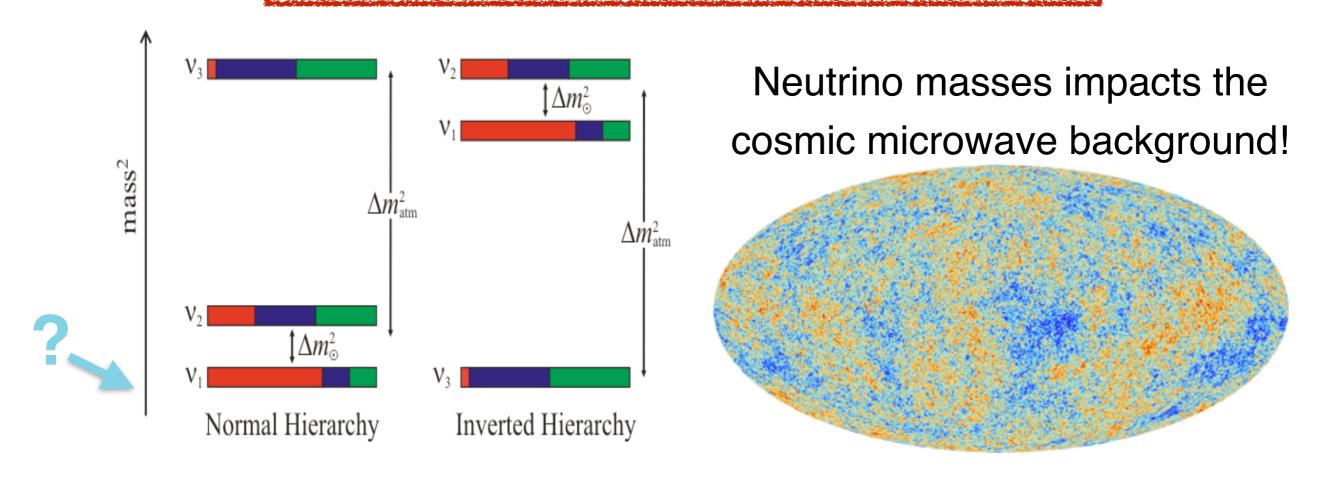


#### What is the absolute neutrino mass?





#### What is the absolute neutrino mass?

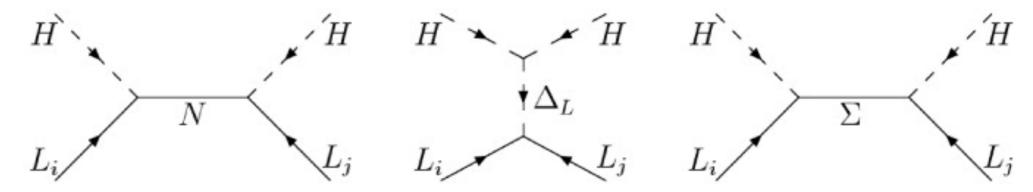


What is the mechanism behind neutrino masses?



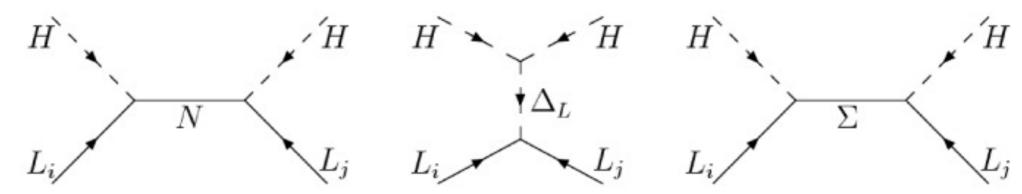
What is the mechanism behind neutrino masses?

#### Seesaw scenarios

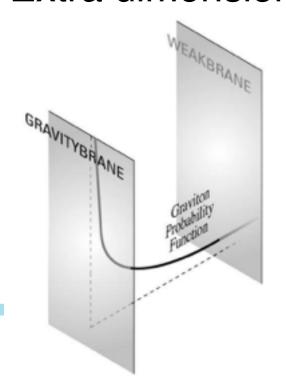


What is the mechanism behind neutrino masses?

#### Seesaw scenarios



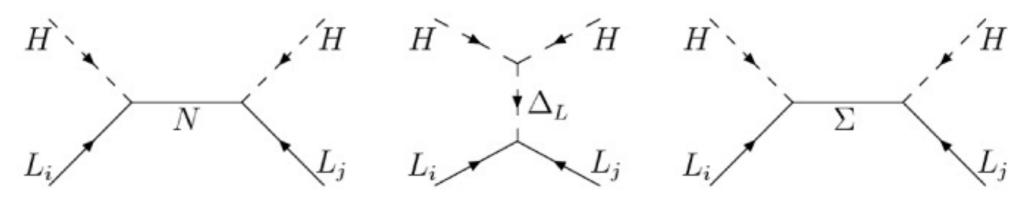
#### Extra dimensions





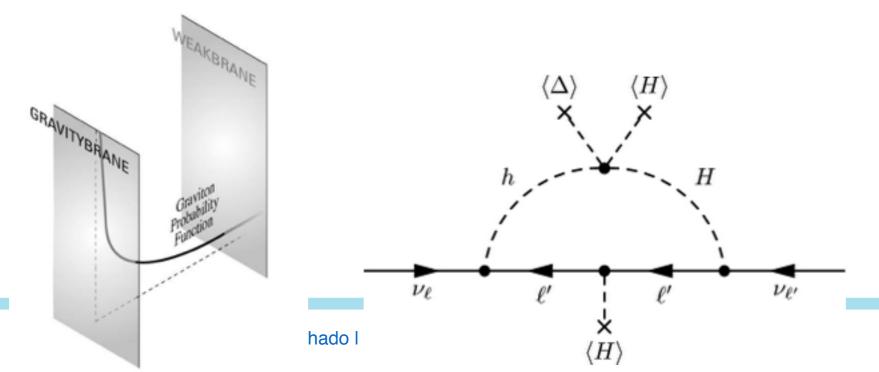
What is the mechanism behind neutrino masses?

#### Seesaw scenarios



#### Extra dimensions

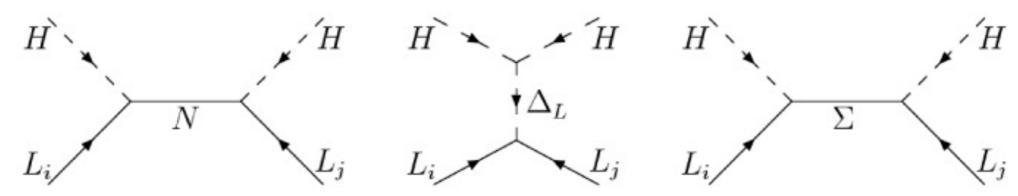
#### Radiative masses





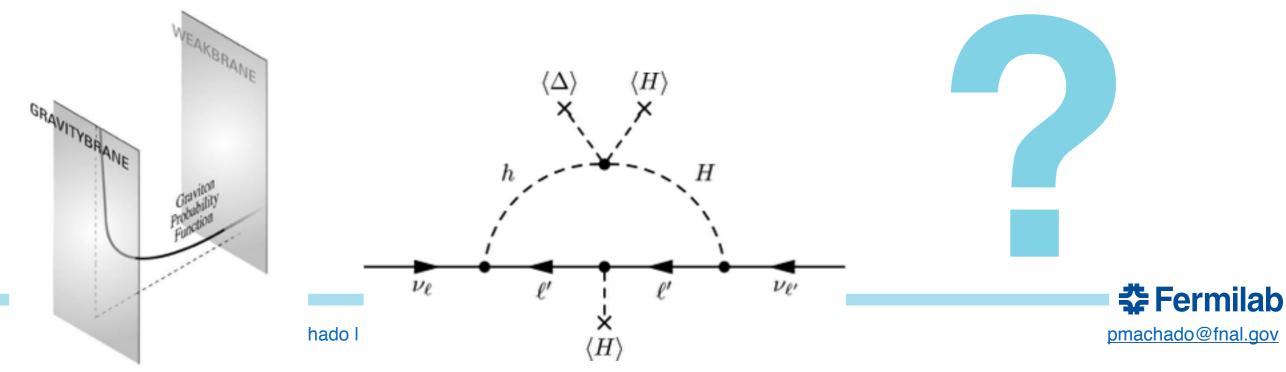
What is the mechanism behind neutrino masses?

#### Seesaw scenarios



#### Extra dimensions

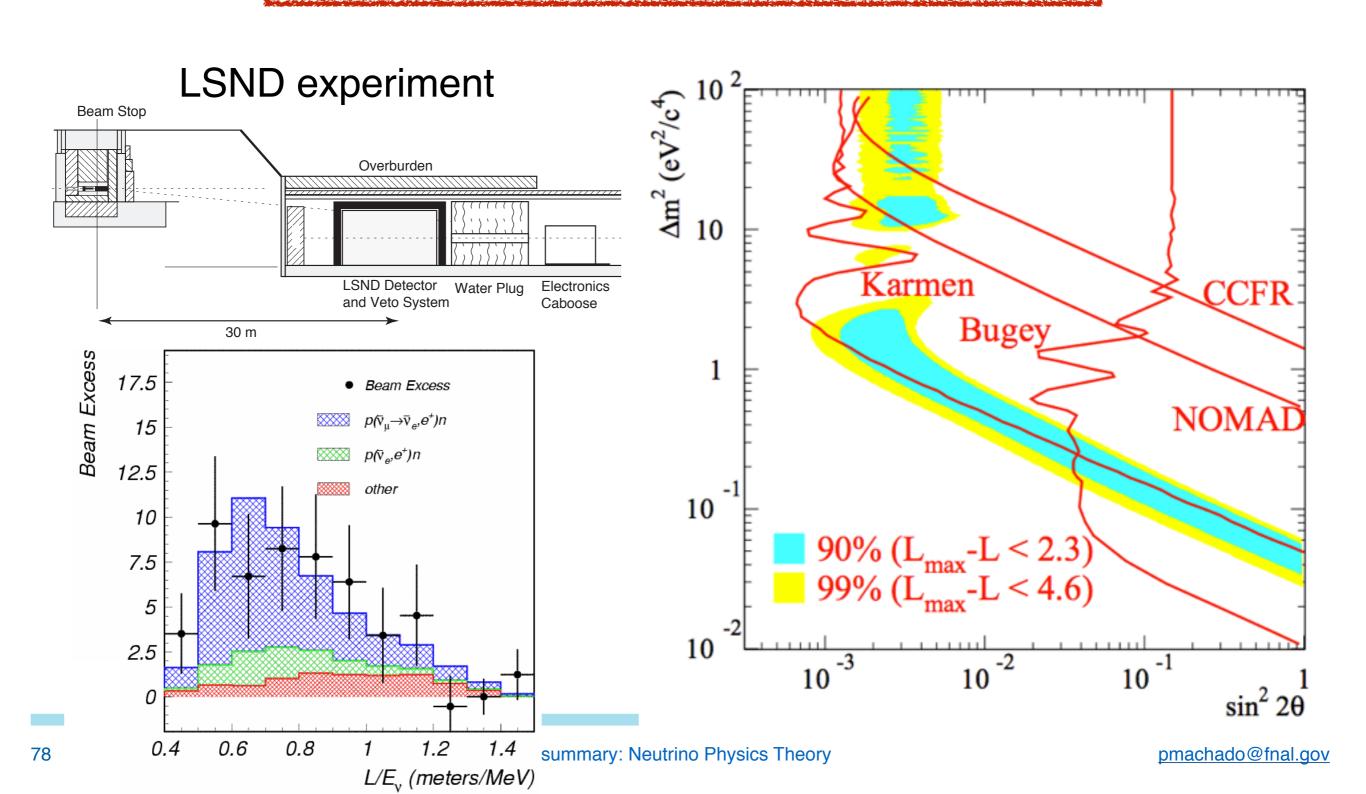
#### Radiative masses



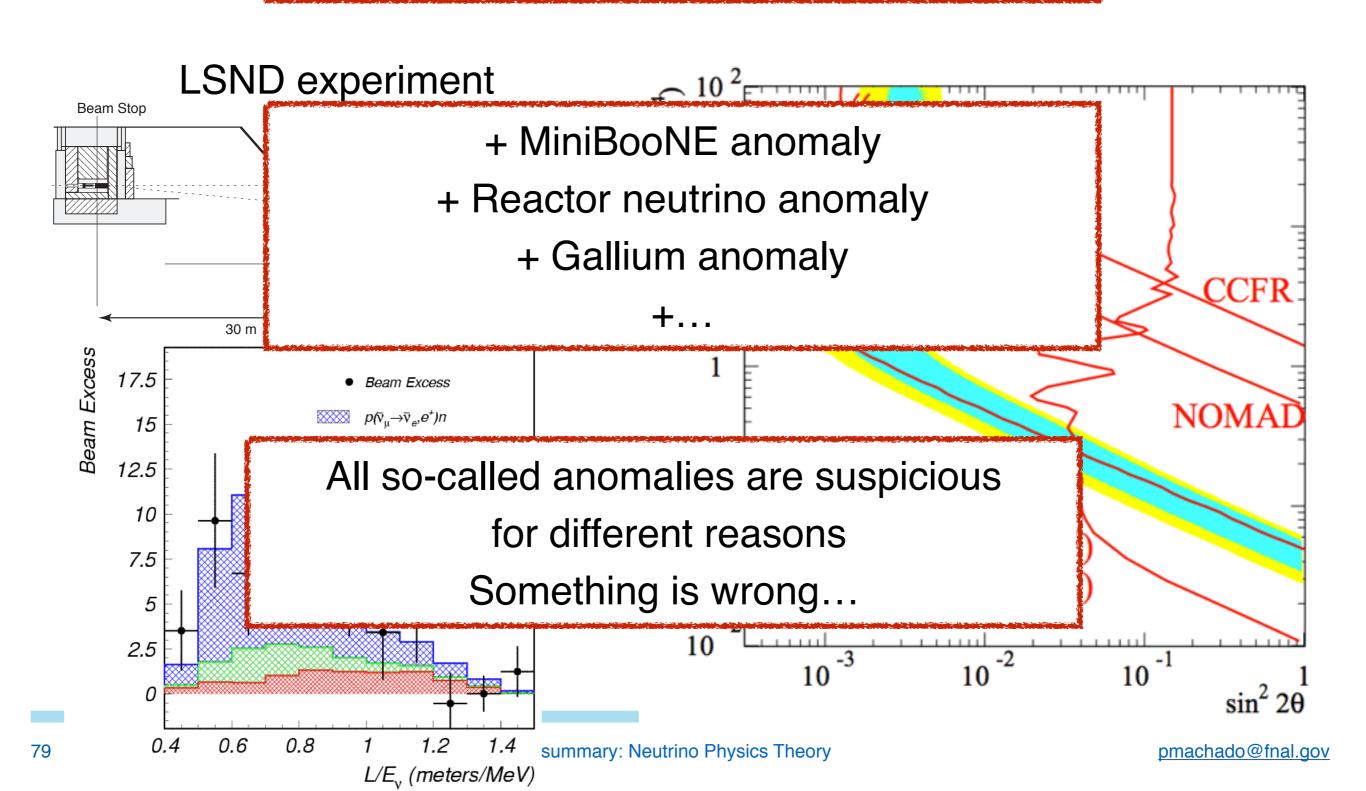
Is there a 4th neutrino ("sterile neutrino")?



## Is there a 4th neutrino ("sterile neutrino")?



Is there a 4th neutrino ("sterile neutrino")?



# Is there a reason behind the masses and mixings?

Also called "the flavor puzzle"

$$V_{\text{CKM}} = \begin{pmatrix} 0.9743 & 0.22506 & 0.00357 \\ 0.22492 & 0.97351 & 0.0411 \\ 0.0087 & 0.0403 & 0.99915 \end{pmatrix} \quad U_{\text{PMNS}} = \begin{pmatrix} 0.795 - 0.846 & 0.513 - 0.585 & 0.126 - 0.178 \\ 0.205 - 0.543 & 0.416 - 0.730 & 0.579 - 0.808 \\ 0.215 - 0.548 & 0.409 - 0.725 & 0.567 - 0.800 \end{pmatrix}$$

(large angle MSW)  $u\mapsto c \bullet t \bullet$  $V_1 \longmapsto V_2 \bullet V_3 \qquad e \bullet \qquad \mu \bullet \tau \bullet$ 

Neutrino masses go beyond the SM

The neutrino sector is the least known sector of the SM

Why so light? Why so much mixing?

Anomalies in neutrino oscillations

Are neutrinos a portal to new physics?